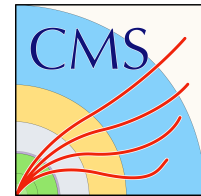


Review of CMS results and upgrade plans

University of Birmingham Particle Physics seminar

Samuel Webb

samuel.webb@cern.ch



Broadly classify LHC analyses into:

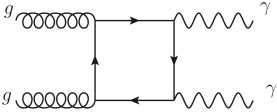
Searches

Precision measurements

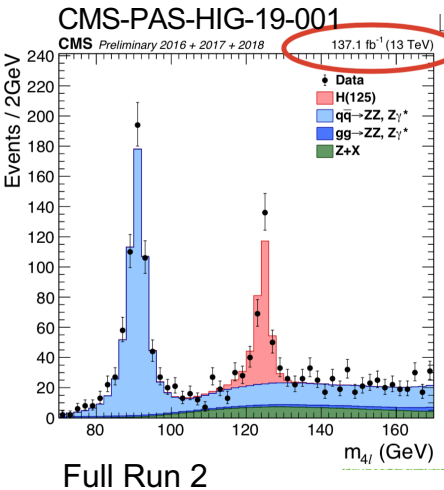
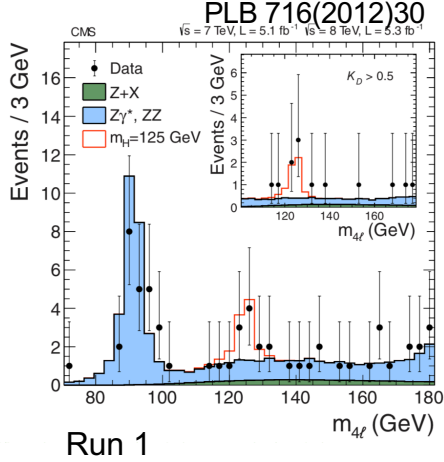
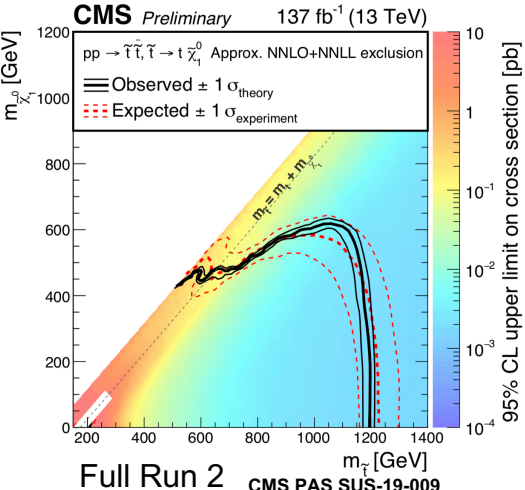
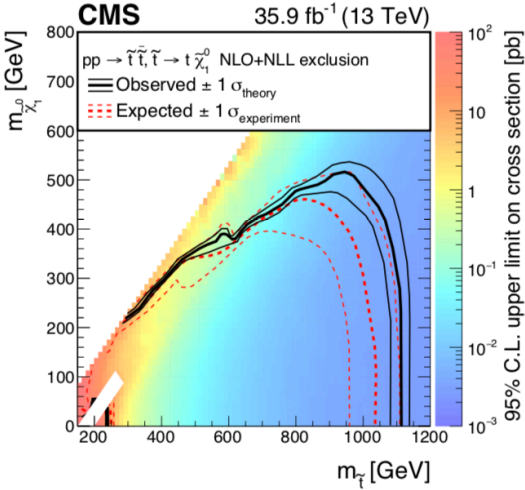
Improve with:

More events (higher luminosity)
Larger cross-section (higher energy)

Measurements of SM control regions within search analysis



New physics in loop corrections



CMS DETECTOR

Total weight : 14,000 tonnes
 Overall diameter : 15.0 m
 Overall length : 28.7 m
 Magnetic field : 3.8 T

STEEL RETURN YOKE
 12,500 tonnes

SILICON TRACKERS
 Pixel (100x150 μm) $\sim 16\text{m}^2 \sim 66\text{M}$ channels
 Microstrips (80x180 μm) $\sim 200\text{m}^2 \sim 9.6\text{M}$ channels

SUPERCONDUCTING SOLENOID
 Niobium titanium coil carrying $\sim 18,000\text{A}$

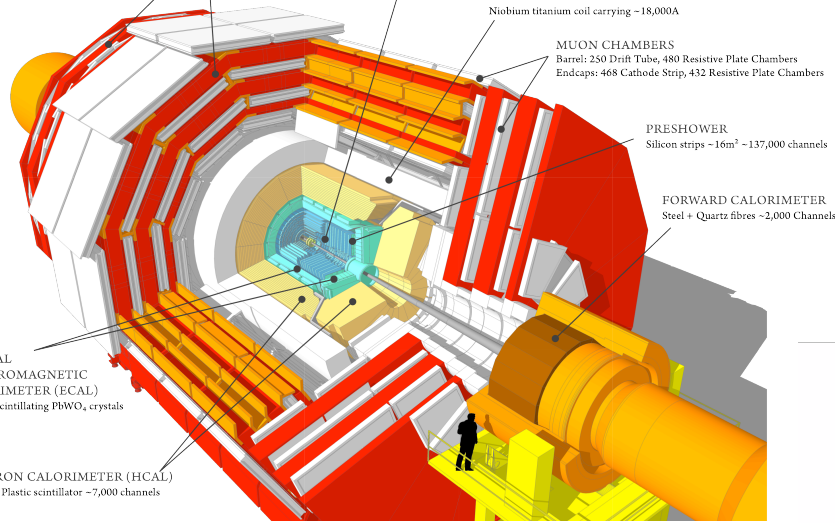
MUON CHAMBERS
 Barrel: 250 Drift Tube, 480 Resistive Plate Chambers
 Endcaps: 468 Cathode Strip, 432 Resistive Plate Chambers

PRESHOWER
 Silicon strips $\sim 16\text{m}^2 \sim 137,000$ channels

FORWARD CALORIMETER
 Steel + Quartz fibres $\sim 2,000$ Channels

CRYSTAL ELECTROMAGNETIC CALORIMETER (ECAL)
 $\sim 76,000$ scintillating PbWO₄ crystals

HADRON CALORIMETER (HCAL)
 Brass + Plastic scintillator $\sim 7,000$ channels



Current Detector

CMS HL-LHC Upgrade

Technical proposal CERN-LHCC-2015-010 <https://cds.cern.ch/record/2020886>

Scope Document CERN-LHCC-2015-019 <https://cds.cern.ch/record/2055167/files/LHCC-G-165.pdf>

L1-Trigger/HLT/DAQ

<https://cds.cern.ch/record/2283192>

<https://cds.cern.ch/record/2283193>

- Tracks in L1-Trigger at 40 MHz
- PFlow-like selection 750 kHz output
- HLT output 7.5 kHz

Barrel Calorimeters

<https://cds.cern.ch/record/2283187>

- ECAL crystal granularity readout at 40 MHz with precise timing for e/γ at 30 GeV
- ECAL and HCAL new Back-End boards

Muon systems

<https://cds.cern.ch/record/2283189>

- DT & CSC new FE/BE readout
- RPC back-end electronics
- New GEM/RPC $1.6 < \eta < 2.4$
- Extended coverage to $\eta \approx 3$

Calorimeter Endcap

<https://cds.cern.ch/record/2293646>

- 3D showers and precise timing
- Si, Scint+SIPM in Pb/W-SS

Tracker <https://cds.cern.ch/record/2272264>

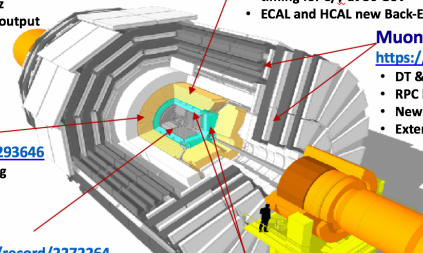
- Si-Strip and Pixels increased granularity
- Design for tracking in L1-Trigger
- Extended coverage to $\eta \approx 3.8$

MIP Timing Detector

<https://cds.cern.ch/record/2296612>

Precision timing with:

- Barrel layer: Crystals + SiPMs
- Endcap layer: Low Gain Avalanche Diodes



Proposed upgrades for High-luminosity LHC

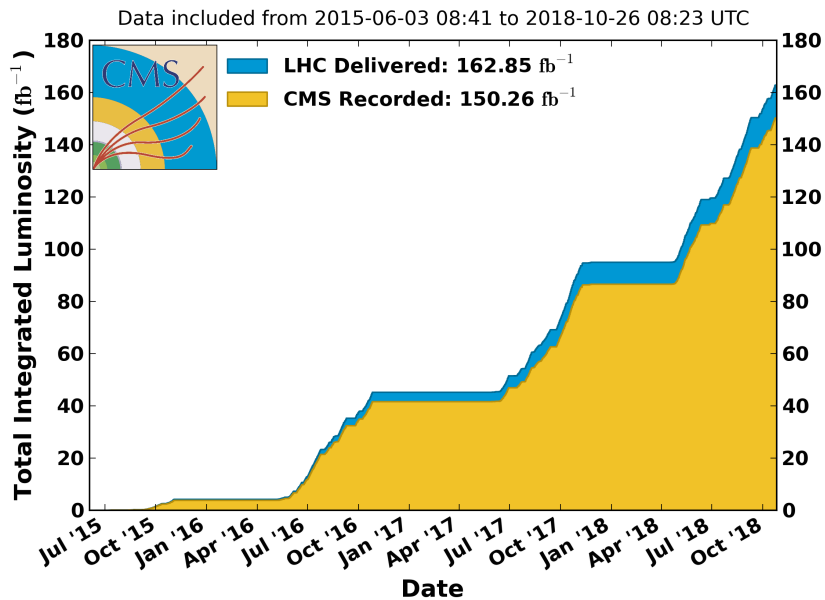
New paradigms (design/technology) for an HEP experiment to fully exploit HL-LHC luminosity



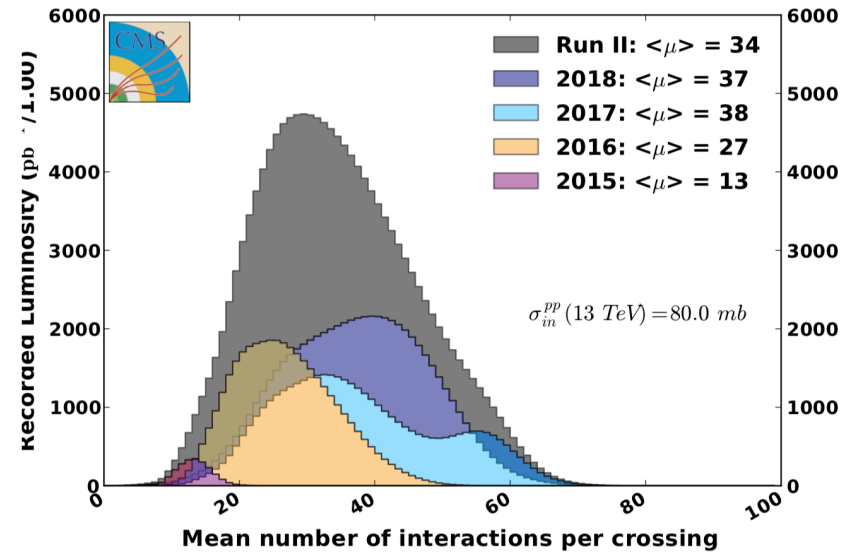
Run 2 Outline

- 2015-2018
- Proton-Proton centre of mass energy 13 TeV (7/8 TeV in run 1)
- Higher instantaneous and integrated luminosity

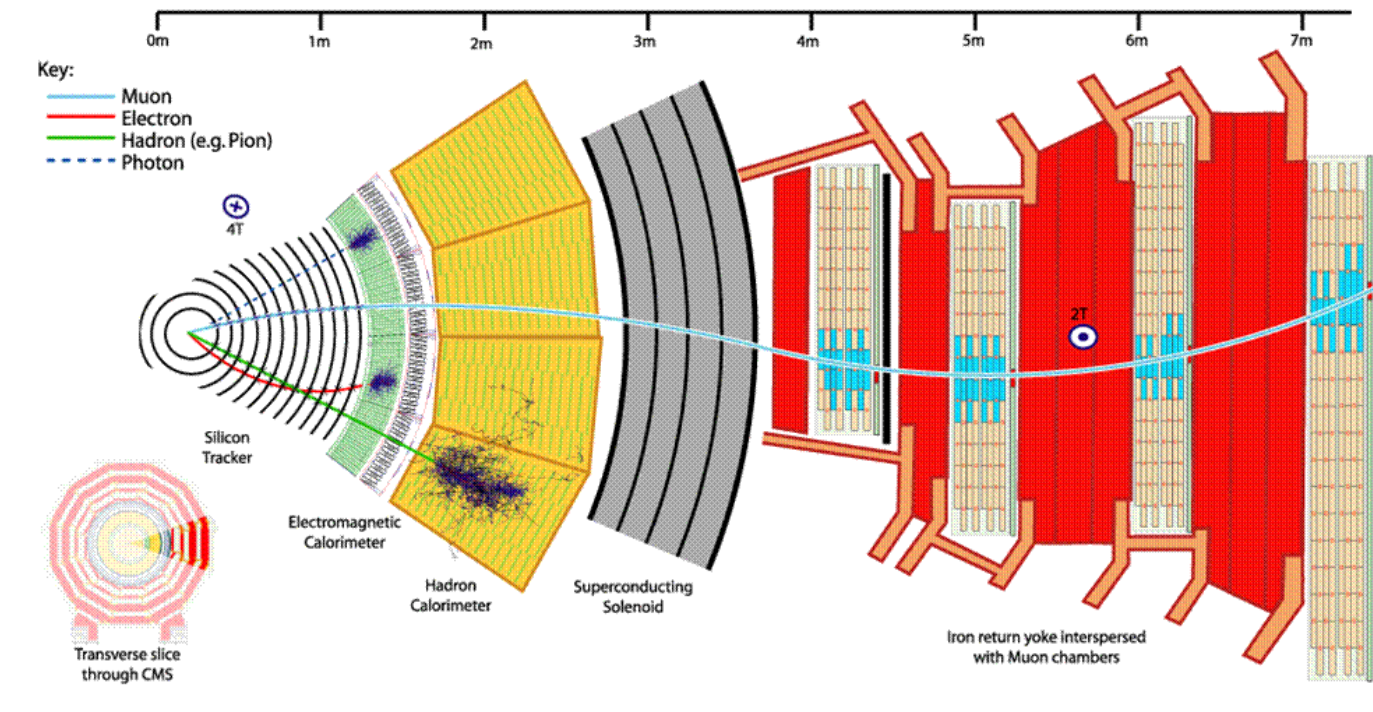
CMS Integrated Luminosity, pp, $\sqrt{s} = 13$ TeV



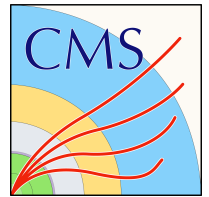
CMS Average Pileup (pp, $\sqrt{s}=13$ TeV)



Physics outline



- Focus on 4 or 5 recent analyses performed with run 2 data at CMS
- Description quite high-level, with focus on motivation and conclusions

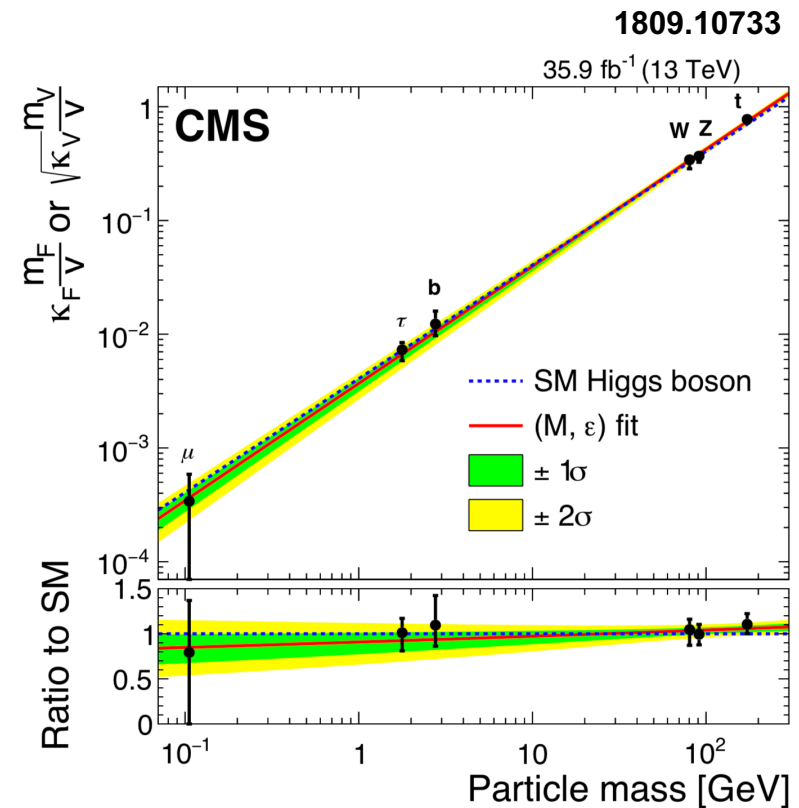


Higgs physics

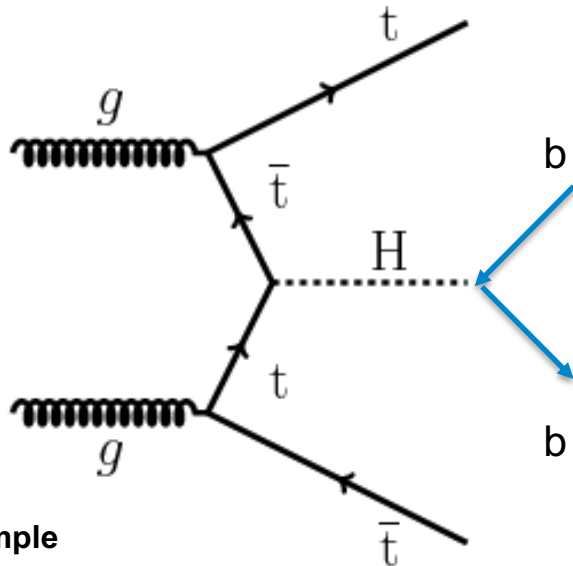
- Run 1: Discovery of Higgs boson, using decays to bosons
 - $H \rightarrow WW$
 - $H \rightarrow ZZ$
 - $(H \rightarrow \gamma\gamma)$

} Coupling proportional to square of mass
- Run 2: Establish couplings to 3rd generation fermions
 - $H \rightarrow \tau\tau$
 - $H \rightarrow b\bar{b}$

} Coupling directly proportional to mass
- And initial results on couplings to 2nd generation fermions
 - $H \rightarrow c\bar{c}$
- High-Lumi LHC
 - Higgs self-coupling

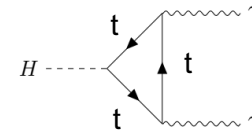


$t\bar{t}H$ ($H \rightarrow b\bar{b}$)



Example
Feynman
diagram

- Associated production of Higgs boson with $t\bar{t}$ pair
- Higgs Coupling strength proportional to fermion mass, “Yukawa coupling”
 - Top heaviest known Fermion
 - Direct access to coupling strength
 - Unlike indirect measurements e.g:



- $t\bar{t}H$ observed last year (multiple Higgs decay modes): [PRL 120 \(2018\) 231801](#)
- $H \rightarrow b\bar{b}$ observed last year (multiple production modes): [PRL 121 \(2018\) 121801](#)

$t\bar{t}H$ ($H \rightarrow b\bar{b}$)

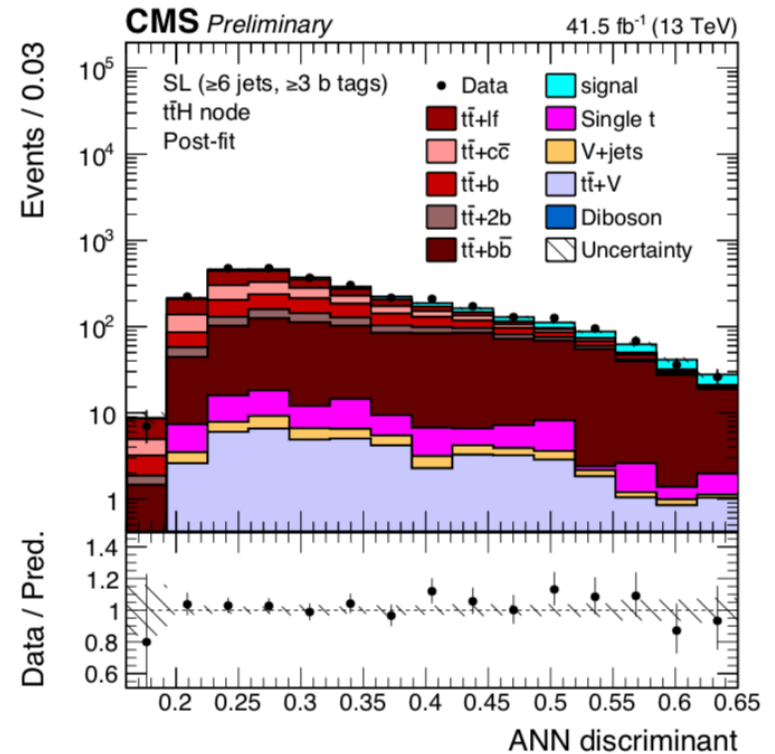
Classify events based on W decays to maximise sensitivity

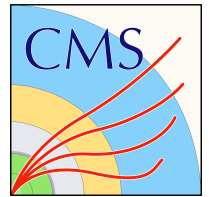
- Both W decay to hadrons
 - Largest background: Multijet
- 1 W decays to hadrons, 1 to leptons
 - Largest background: $t\bar{t}$ + jets
- Both W decay to leptons
 - Largest background: $t\bar{t}$ + jets

Further classify by number of jets and b-jets

Several multivariate techniques are used to discriminate signal + background, e.g:

- Artificial Neural Network (ANN)
- Boosted Decision Tree





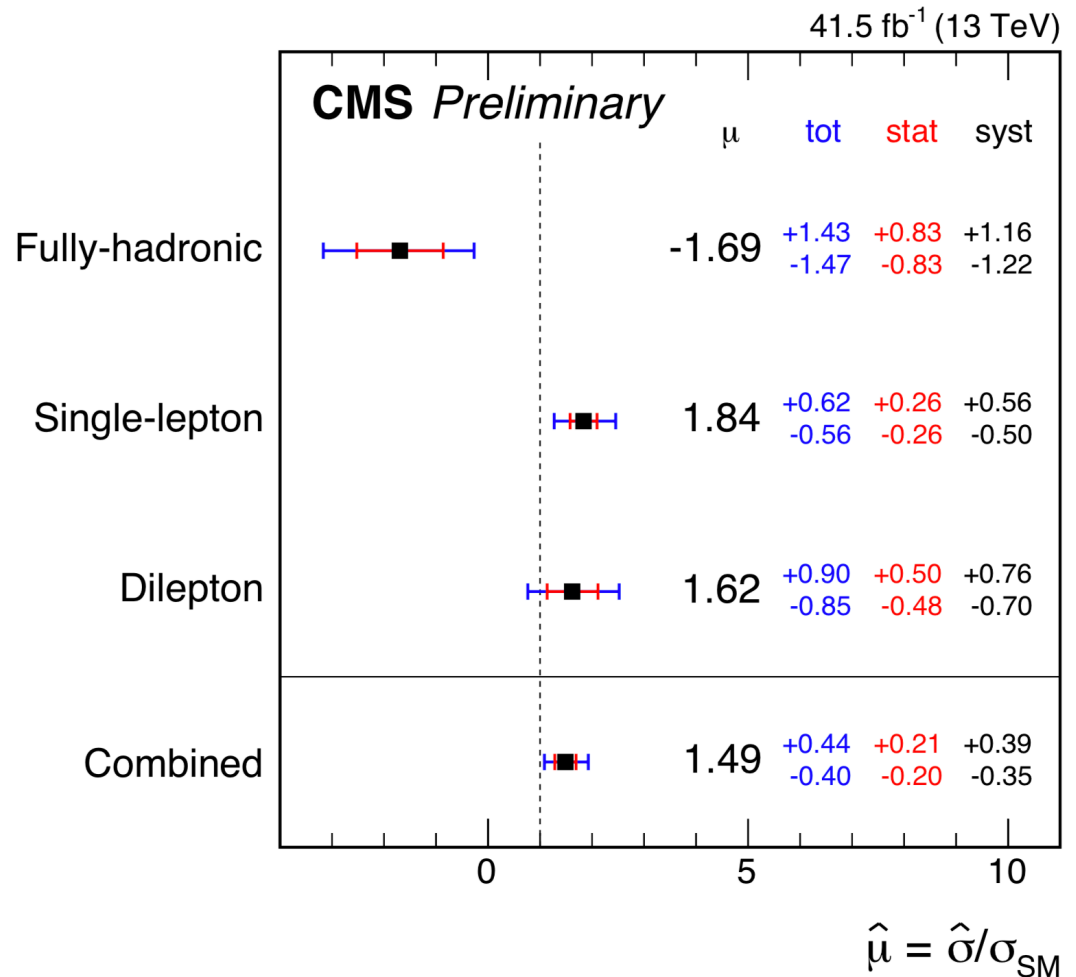
$t\bar{t}H$ ($H \rightarrow b\bar{b}$)

Largest uncertainties:

- uncertainty in the signal $t\bar{t}H$, and background $t\bar{t}+b\bar{b}$, $t\bar{t}+c\bar{c}$ cross-sections
- b-tagging scale factors

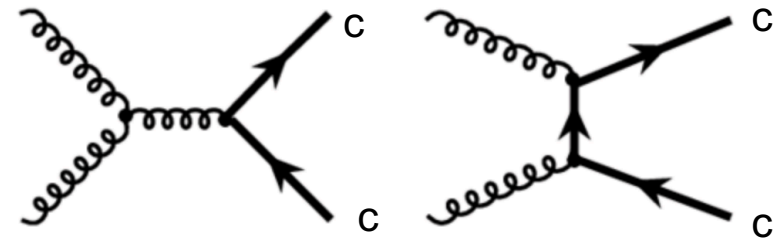
Plot shows the signal-strength, i.e. ratio of the observed signal to the standard model expectation

Observed significance of 3.7σ above background-only hypothesis, (3.9 when combined with 2016 data)



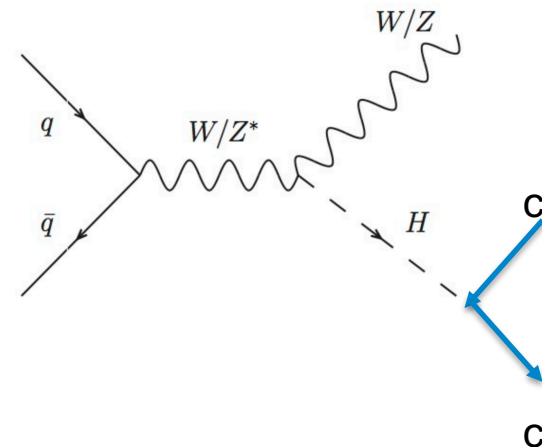
VH ($H \rightarrow c\bar{c}$)

- Probe Higgs decay to **second-generation fermions**
 - $H \rightarrow c\bar{c}$ branching ratio 20x smaller than $H \rightarrow b\bar{b}$
 - Could be significantly modified by new physics
- Associated production with W/Z boson
 - $W \rightarrow \ell\nu$, $Z \rightarrow \nu\nu$, $Z \rightarrow \ell\ell$



Large multi-jet background

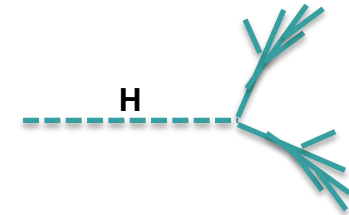
Require associated production with W/Z boson



VH ($H \rightarrow c\bar{c}$)

- Two separate searches, then combined
 - Resolved jets
 - Low p_T Higgs
 - Merged jets
 - High p_T Higgs
- Jet identification crucial feature in each case
 - Multiclassifier DeepCSV to identify charm jets
 - Harder than b-jet identification (lighter)
 - Soft drop substructure technique: Remove soft wide angle radiation
- Backgrounds
 - V+jets, $t\bar{t}$

Resolved jets

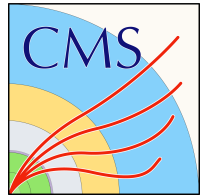


- Higgs reconstructed from two distinct charm-quark jets

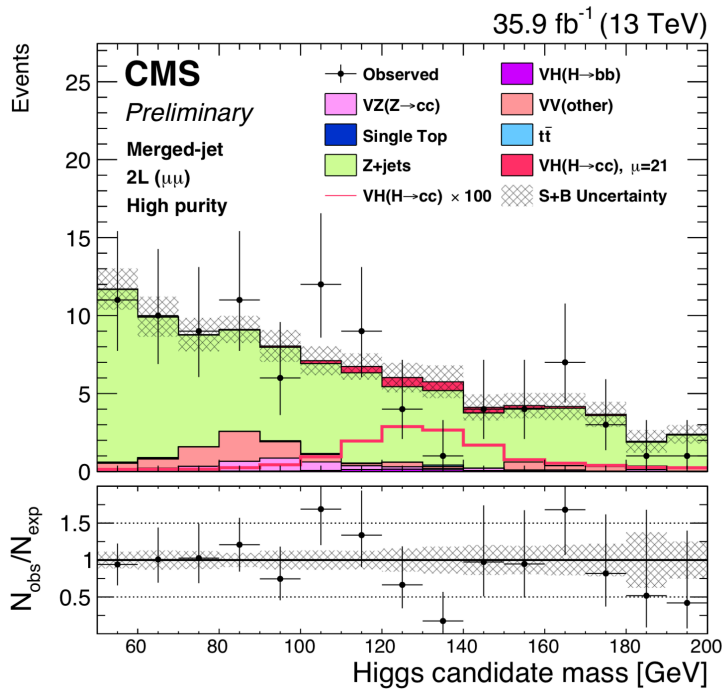
Merged jets



- Reconstructed as single jet
- Make use of jet-substructure techniques

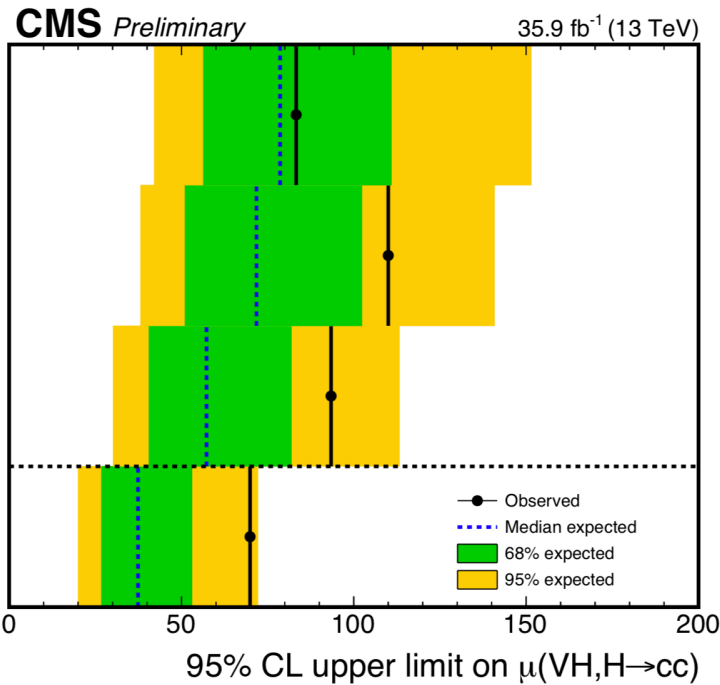


VH (H → c \bar{c})



2 μ channel, merged-jet

Signal curve x 100

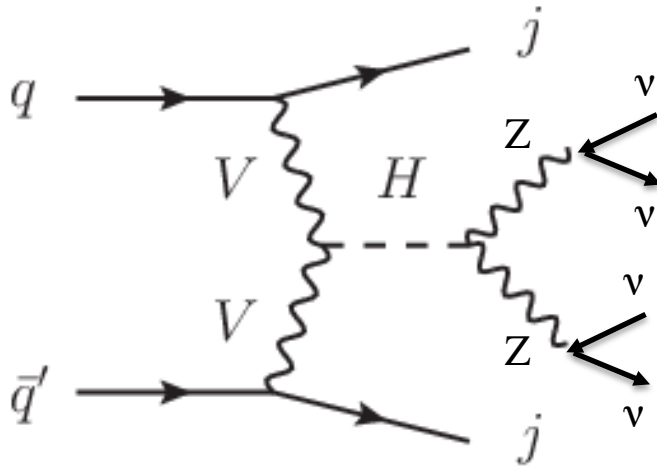


Limit combining all channels:

< 70 x SM prediction (37 expected, slight excess in resolved category)

Limit H → $\mu\mu$
2.9 x SM

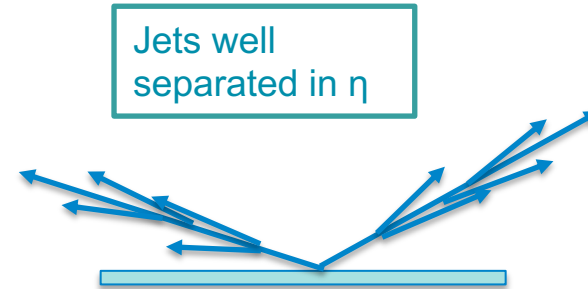
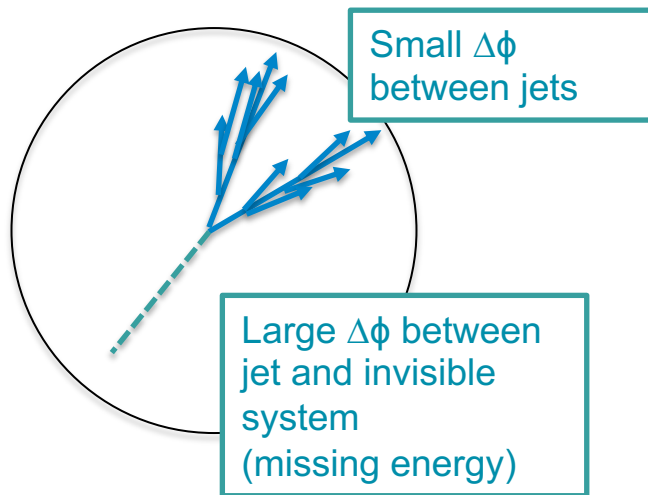
H \rightarrow Invisible



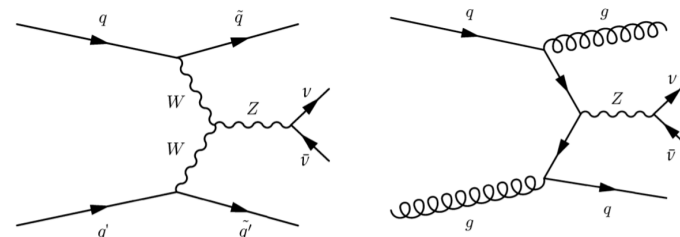
Standard Model process:
branching ratio only 0.001

- Search for invisible decays of Higgs Boson
- Small decay to invisible (neutrinos) in the SM
 - New physics scenarios predict enhancements
 - Axions, light Higgs, extra dimensions ...
 - Interplay between measurement and search
- Vector Boson Fusion (VBF) topology most sensitive
 - Suppresses most SM backgrounds

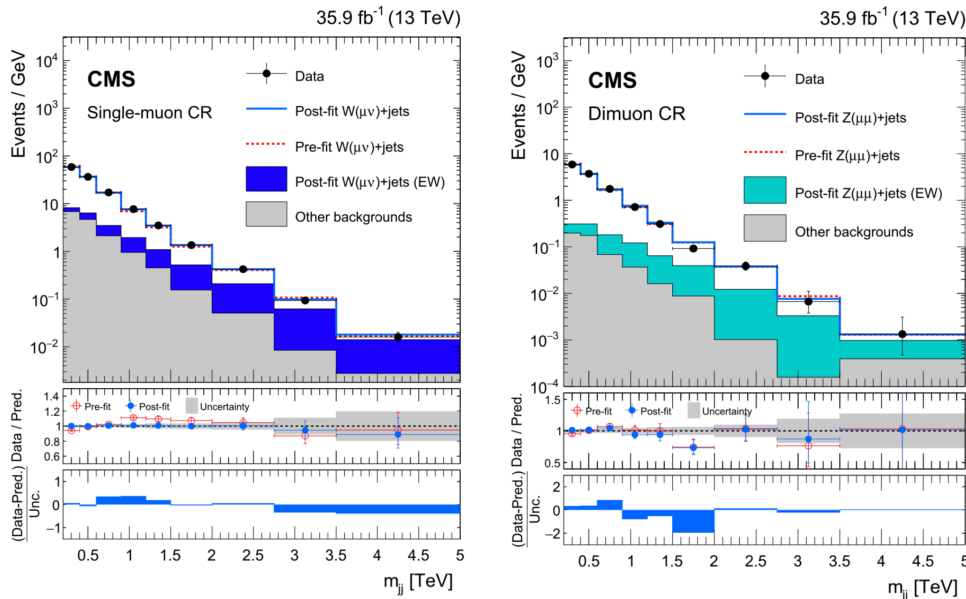
H → Invisible



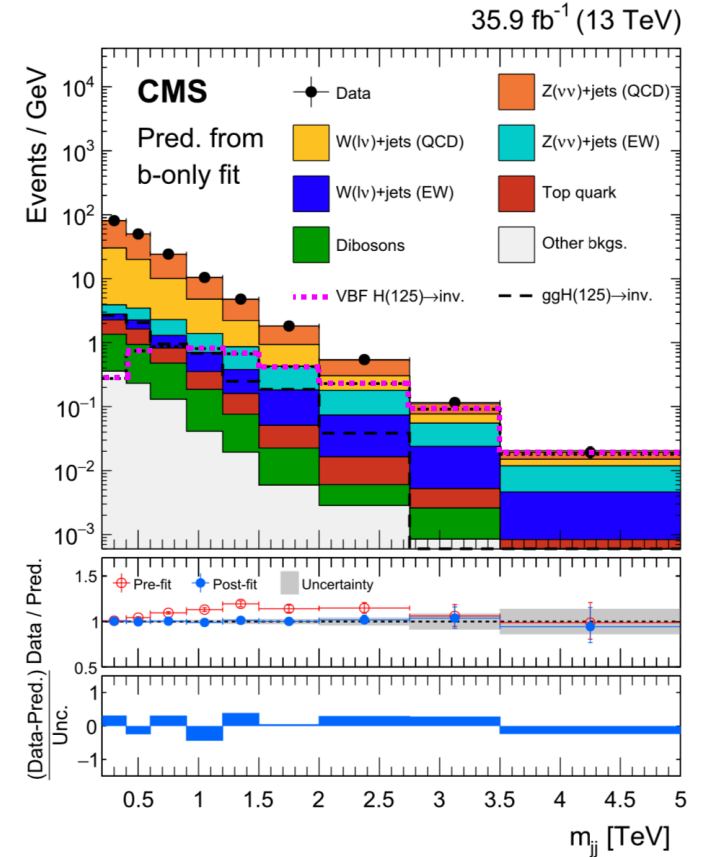
- Exploit topology of VBF events to greatly reduce background
- Largest residual backgrounds from
 - $Z \rightarrow \nu\nu$ and $W \rightarrow \ell\nu$
 - Both VBF and ‘QCD’ processes contribute



H → Invisible



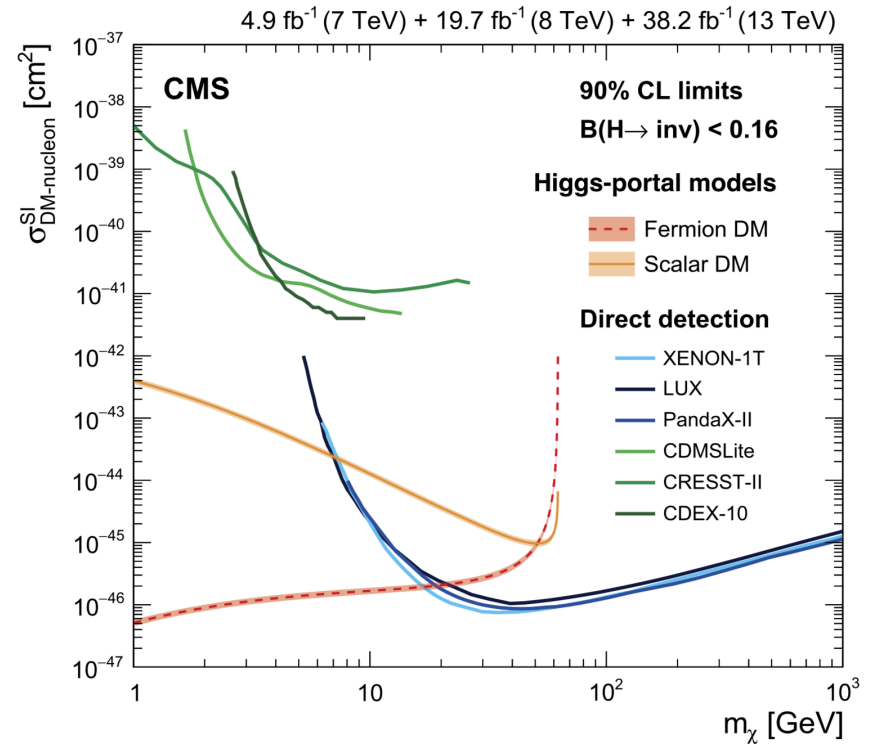
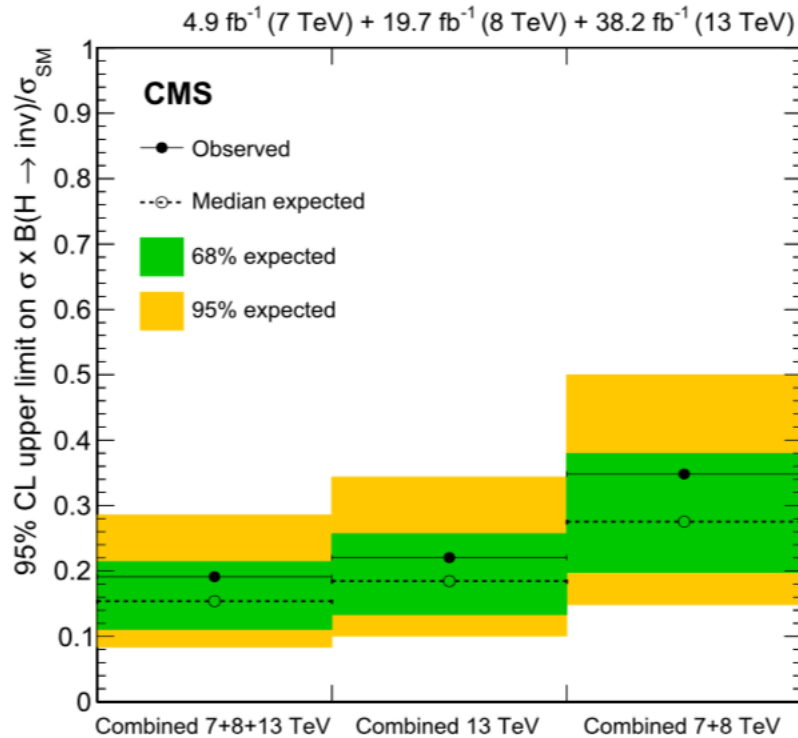
W and Z backgrounds
constrained using single
and di-lepton control
regions



Di-jet mass most sensitive
variable – signal peaks at high
di-jet mass



H → Invisible

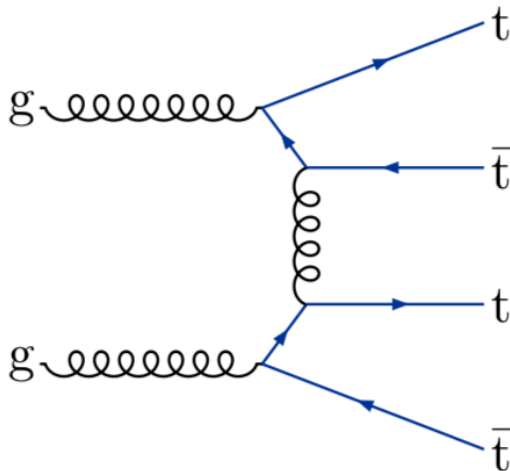


95% CL Upper limit on branching ratio of 0.33 → 0.19 when combined with other existing data

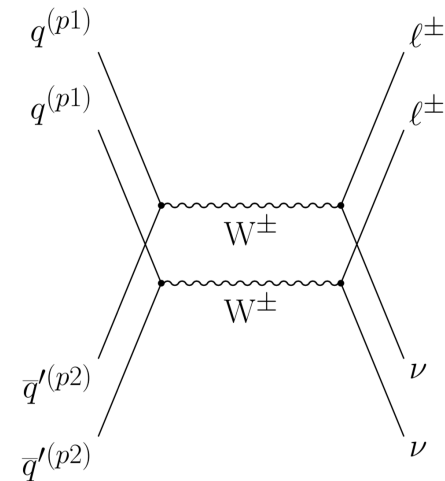
Strong constraints on 'Higgs-portal' models (stable dark matter particle couples to SM Higgs boson)

Rare Standard Model processes

- Even with the large Run 2 data set, certain Standard Model processes are still very rare
- Two analyses interesting to look at:



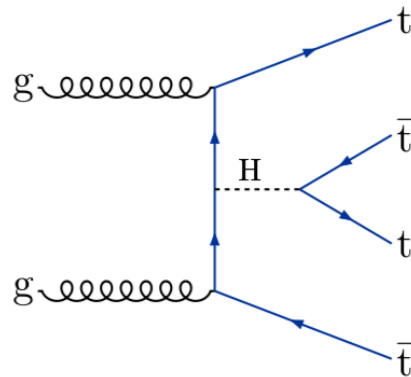
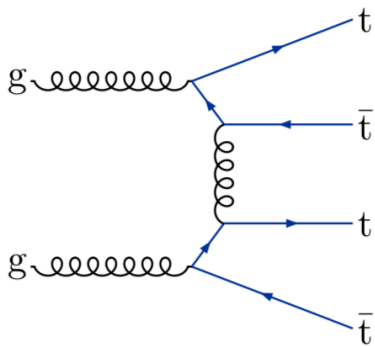
'4 top' production: 1908.06463



WW production from double parton
scattering: 1909.06265

4 top production 137 fb⁻¹

Measurement/search is sensitive to the Higgs-top Yukawa coupling (set limits) and also to new heavy scalar and pseudoscalar bosons



- Measurement of a rare Standard Model process
 - Search for deviations which might show hints of new physics
- Generally decays to 4 b-quark jets, + 4 W (further decay to leptons/light jets)
- Main backgrounds
 - $t\bar{t} + W$, $t\bar{t} + Z$, $t\bar{t} + \gamma$, $t\bar{t} + H(WW)$
- Cut-based analysis
 - Events classified according to: N_{jet} , N_{bjet} , N_{lepton}
- BDT classifier
 - Additional variables such as missing energy and scalar sum of jet energy

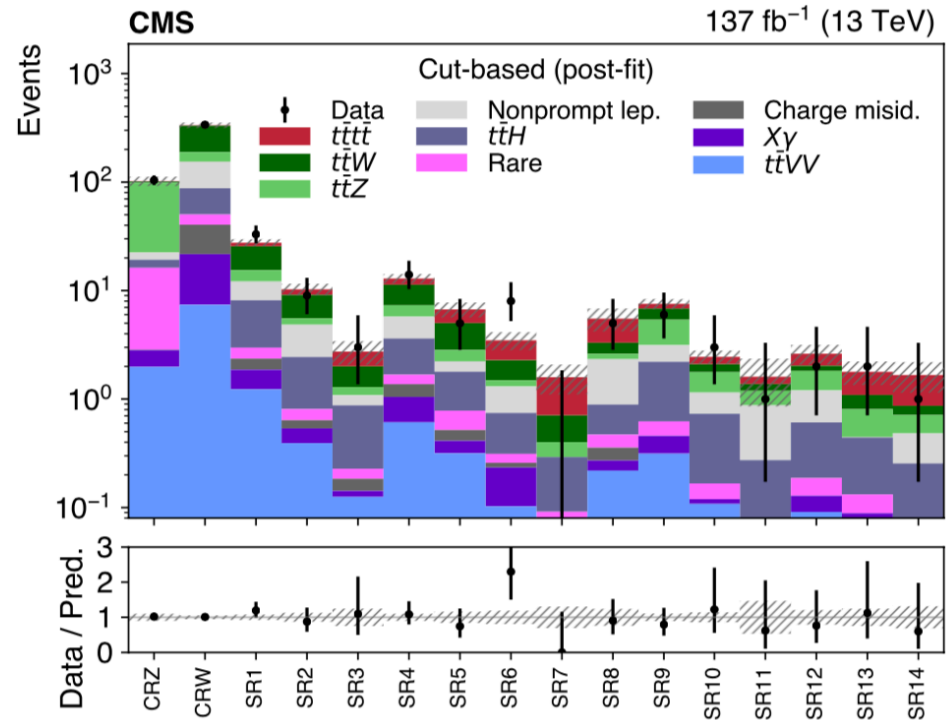


4 top production

- Largest uncertainties from
 - Limited number of data events (low background analysis)
 - Normalisation of backgrounds
 - Additional scaling to correct from mismodelling in MC
 - Jet energy scale / resolution
 - Identification of b-jets

Excess over background prediction

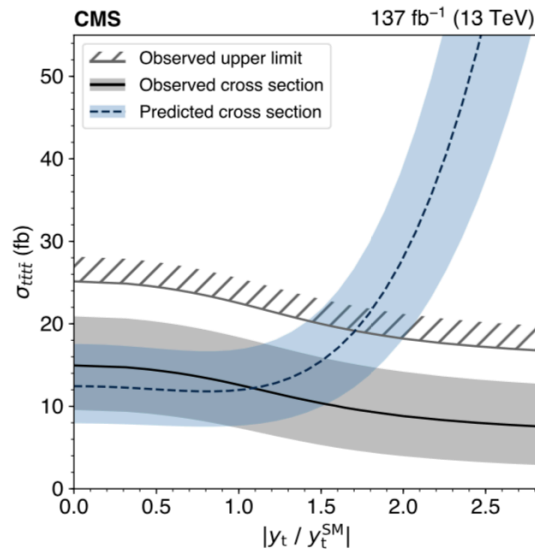
- Measured signal with significance of 2.6σ
- Cross-section measurement $12.6^{+5.8}_{-5.2} \text{ fb}$
 - SM prediction $12.0^{+2.2}_{-2.5} \text{ fb}$



- Observed yields in each of the signal regions (defined by cuts on number of jets/b-jets/leptons)
- Signal in red

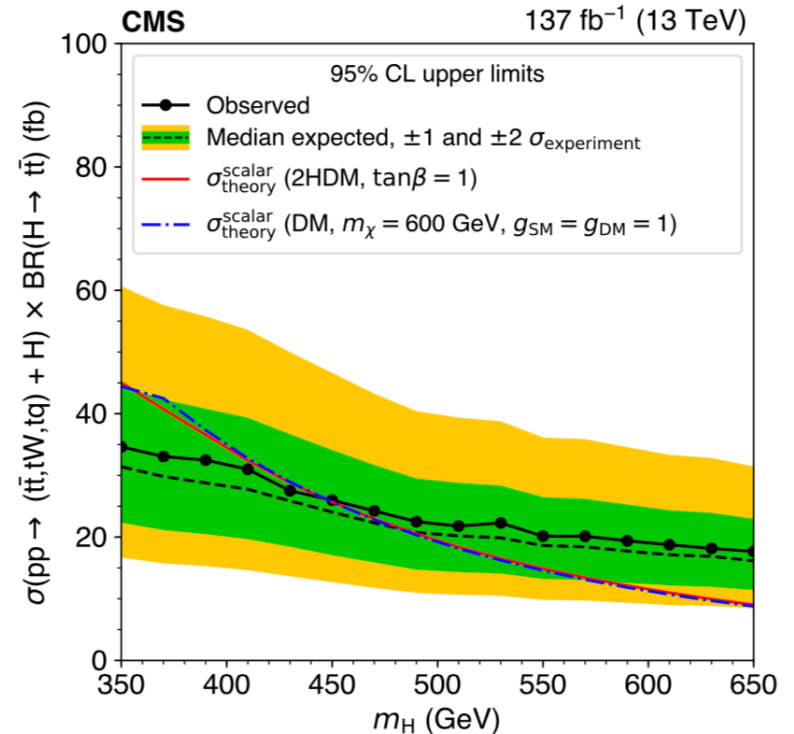


4 top production



Limit on Higgs-top Yukawa couplings

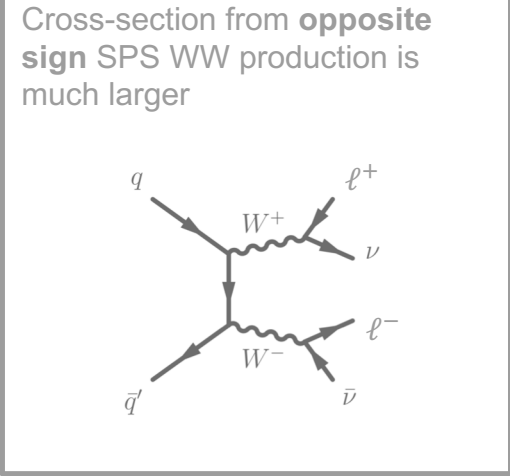
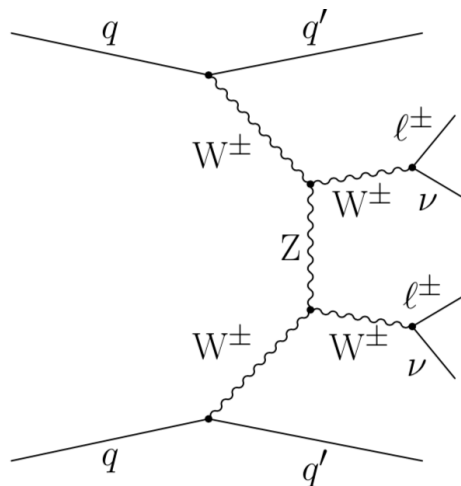
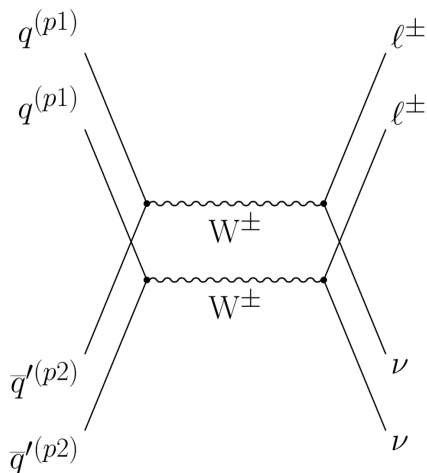
- On shell (assuming off-shell is SM)
 - From scaling $t\bar{t}+H$ background
< 1.7 x SM (shown on plot)
- Off shell (assuming on-shell is SM)
 - From virtual Higgs diagram
< 1.8 x SM



Limits set on new heavy Higgs boson

Double parton scattering (DPS)

- Two hard scatters of partons within proton-proton collision
 - Test of dynamics of partons with the proton
 - **Important background** in searches for new physics



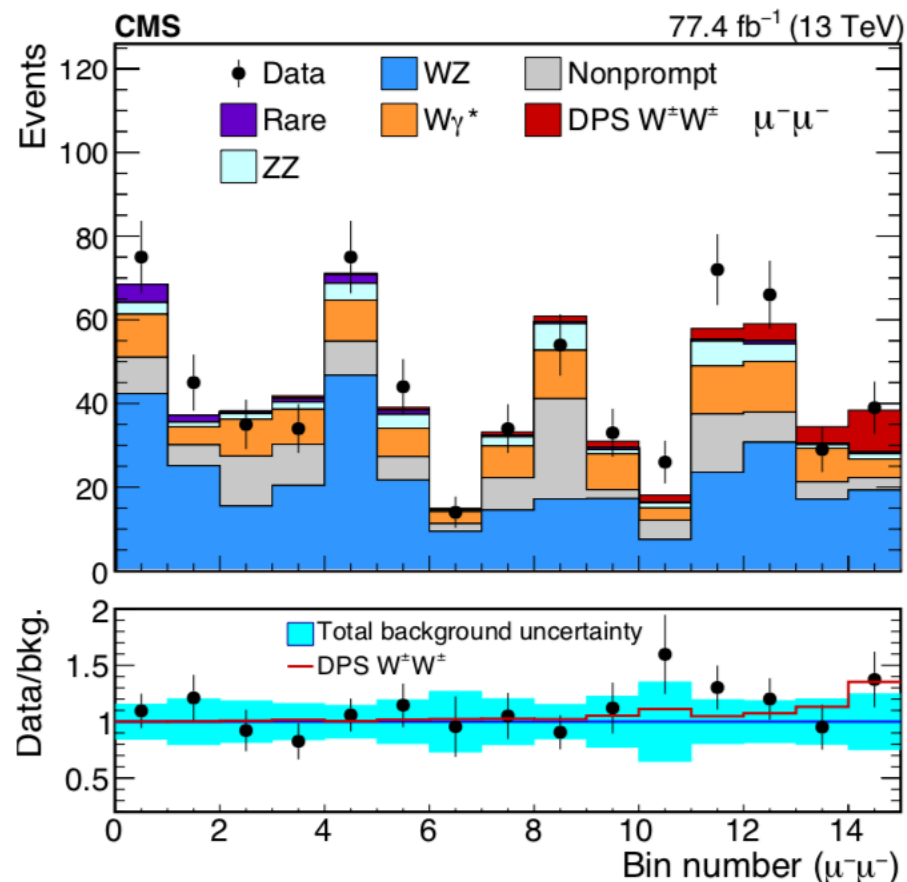
Same sign WW
production promising
process to study DPS

At leading order same-sign
Single Parton Scattering (SPS)
has **two additional jets**

Double parton scattering (DPS)

- Absence of additional jets reduces the SPS background
- Largest residual backgrounds from
 - WZ production, decaying to leptons – genuine same-sign leptons
 - One lepton from Z is emitted outside of the acceptance
 - Non prompt leptons (e.g. QCD multi-jet, W+jets)

Two separate multivariate classifiers used to discriminate between these types of background

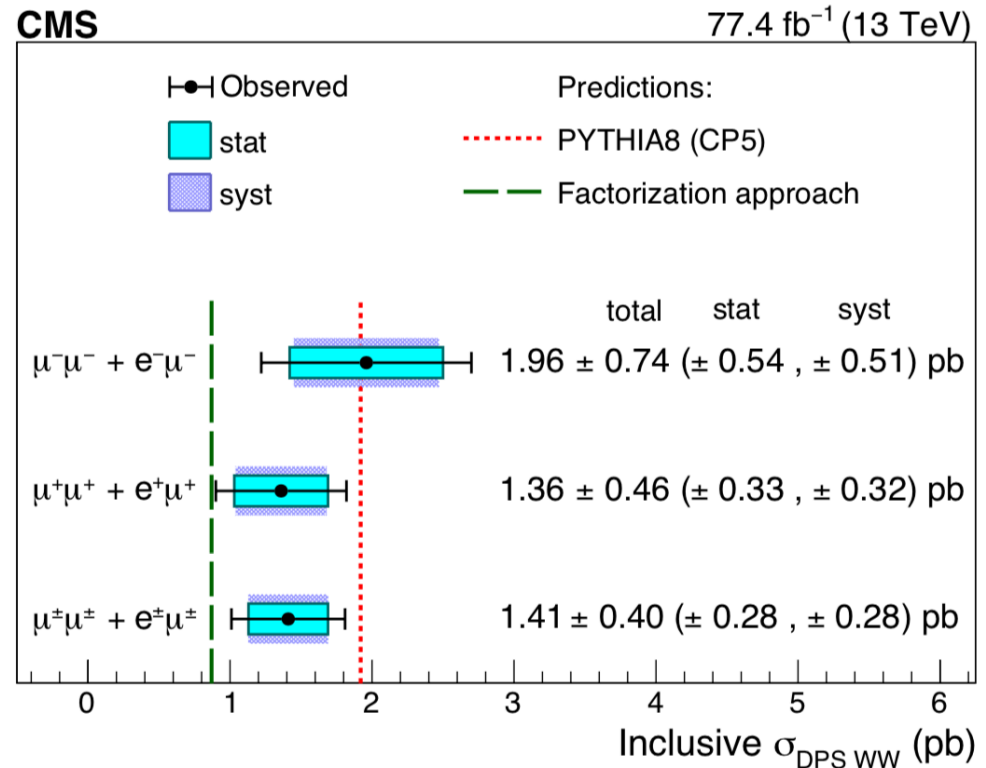


Double parton scattering (DPS)

Observed significance of 3.9σ

First measurement of DPS WW cross-section

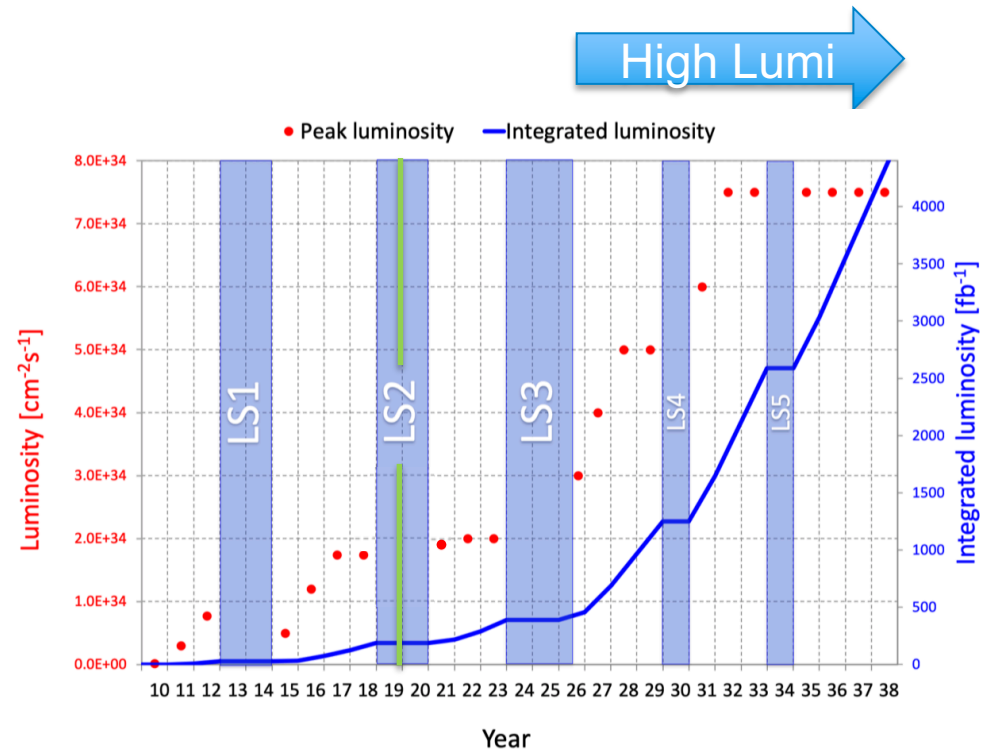
- 1.41 ± 0.28 (stat) ± 0.28 (syst) pb
- Largest systematic uncertainty from estimation of non-prompt lepton contributions



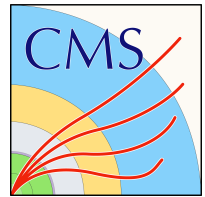


High-luminosity LHC

- Planned upgrade to LHC:
 - Increased luminosity – typically 140-200 proton-proton interactions per bunch crossing
 - Up to 250 fb^{-1} per year yielding $\sim 3000 \text{ fb}^{-1}$ by end of run
 - Starting ~ 2026



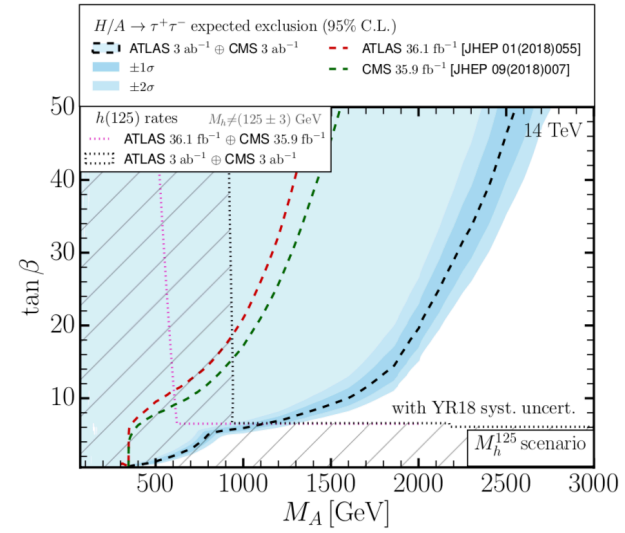
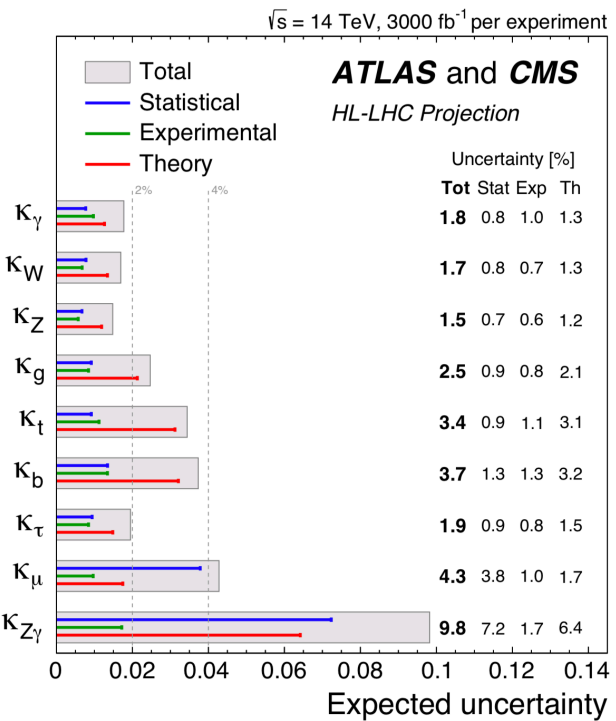
Thanks to Sudan Paramesvaran
for input to following slides



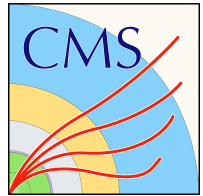
Motivation – Higgs physics

- Large statistics will improve sensitivity to new Higgs bosons.
- One example decaying to $\tau\tau$ will have reach up to 2.5 TeV for $\tan\beta > 50$.

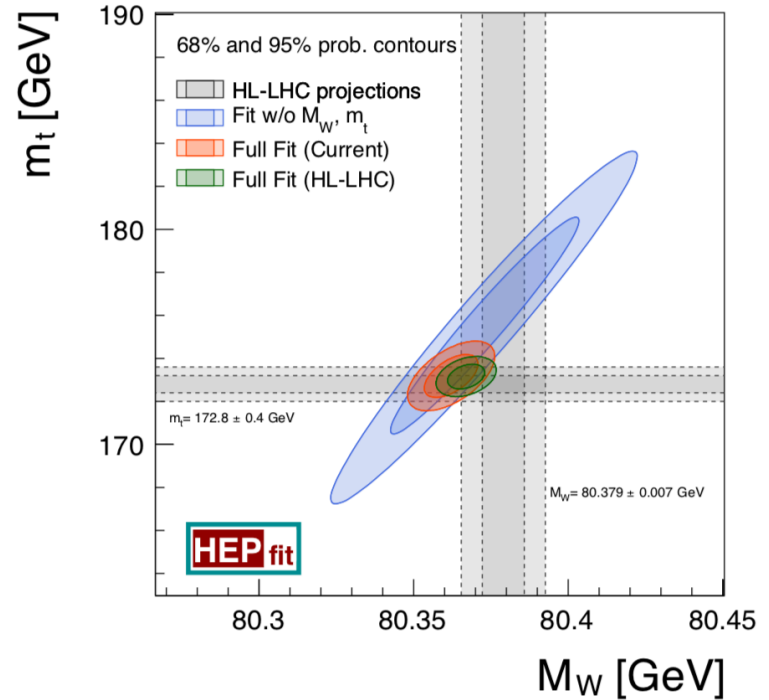
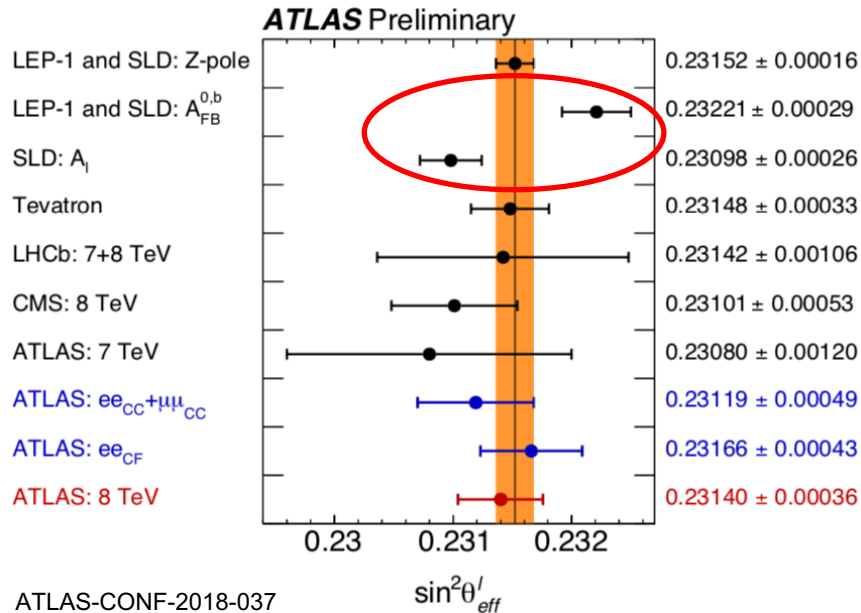
- Higgs SM couplings will be measured to the percent level
- Large statistics will particularly help with complex final states
- Assuming SM couplings 4σ evidence for HH (ATLAS+CMS)



<https://twiki.cern.ch/twiki/bin/view/LHCPhysics/HLHELHCWorkshop>

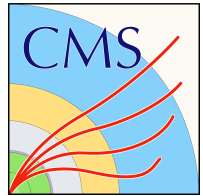


Motivation – SM physics

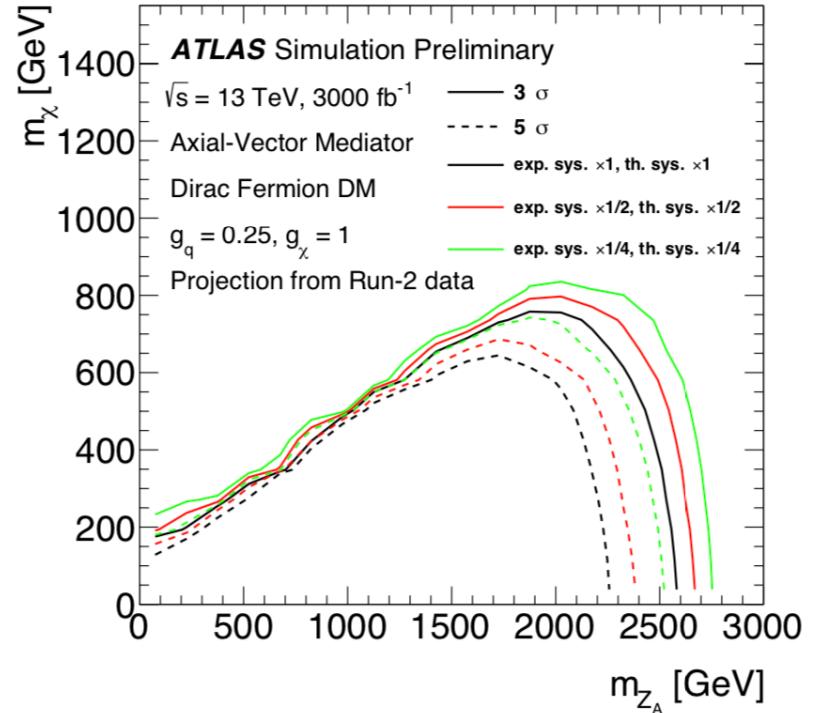
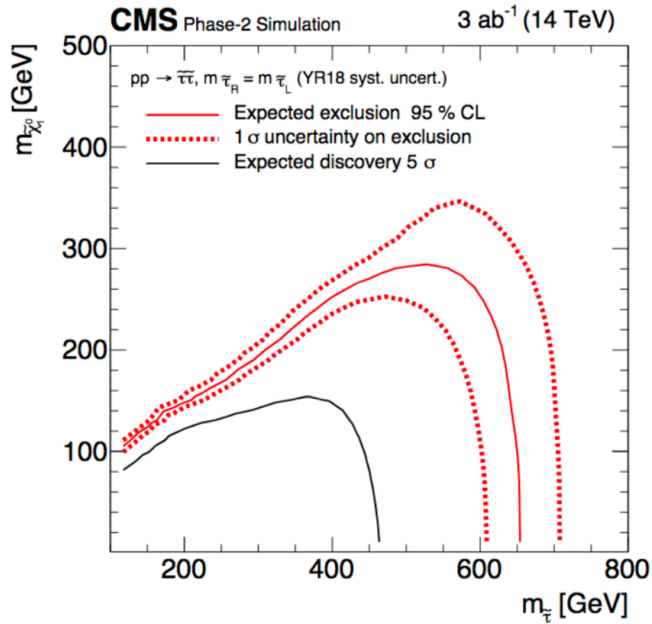


- Precise measurement of weak mixing angle can help resolve discrepancy between LEP and SLD results
- Single measurement as good as current world average (PDF uncertainty still dominates)

- W boson mass uncertainty of 7 MeV (current world average 12 MeV)
- Higher statistics
- Increased constraint of PDFs (extended leptonic coverage)



Motivation – Beyond the SM



- Large increase in sensitivity to Super-Symmetry signatures
- E.g. di-stau production
 - Only excluding ~ 100 GeV currently
 - Will be able to reach ~ 500 GeV for discovery

- Searches for Dark Matter will have a much improved discovery reach - on the order of a TeV when using the monojet + missing energy signature

CMS HL-LHC upgrades

Technical proposal CERN-LHCC-2015-010 <https://cds.cern.ch/record/2020886>

Scope Document CERN-LHCC-2015-019 <https://cds.cern.ch/record/2055167/files/LHCC-G-165.pdf>

L1-Trigger/HLT/DAQ

<https://cds.cern.ch/record/2283192>

<https://cds.cern.ch/record/2283193>

- Tracks in L1-Trigger at 40 MHz
- PFlow-like selection 750 kHz output
- HLT output 7.5 kHz

Endcap Calorimeter (HGCaI)

<https://cds.cern.ch/record/2293646>

- 3D showers and precise timing
- Si, Scint+SiPM in Pb/W-SS

Tracker <https://cds.cern.ch/record/2272264>

- Si-Strip and Pixels increased granularity
- Design for tracking in L1-Trigger
- Extended coverage to $\eta \approx 3.8$

Barrel Calorimeters

<https://cds.cern.ch/record/2283187>

- ECAL crystal granularity readout at 40 MHz with precise timing for e/ γ at 30 GeV
- ECAL and HCAL new Back-End boards

Muon systems

<https://cds.cern.ch/record/2283189>

- DT & CSC new FE/BE readout
- RPC back-end electronics
- New GEM/RPC $1.6 < \eta < 2.4$
- Extended coverage to $\eta \approx 3$

Beam Radiation Instr. and Luminosity, and Common Systems and Infrastructure

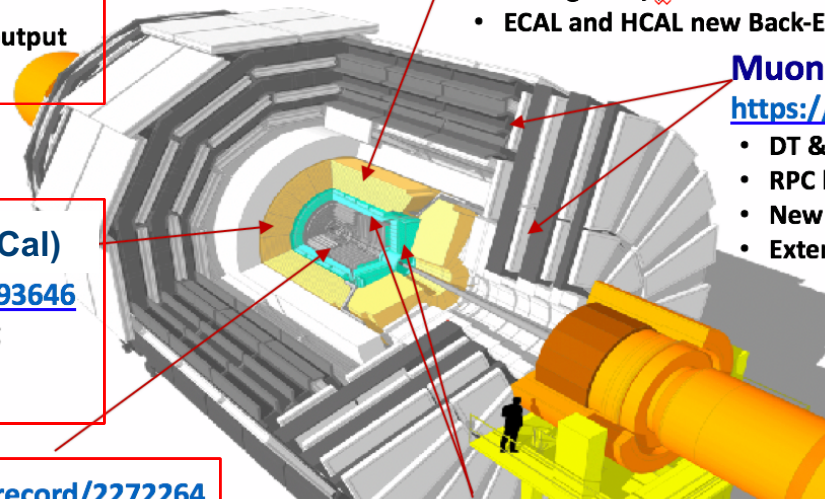
<https://cds.cern.ch/record/2020886>

MIP Timing Detector

<https://cds.cern.ch/record/2296612>

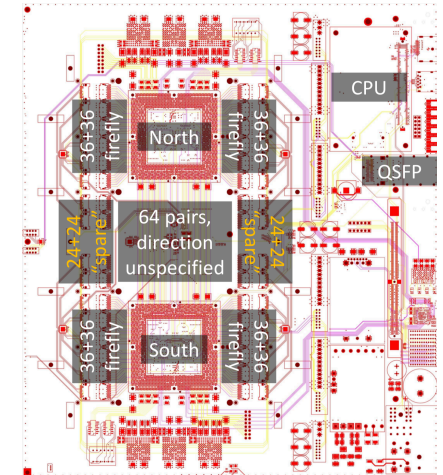
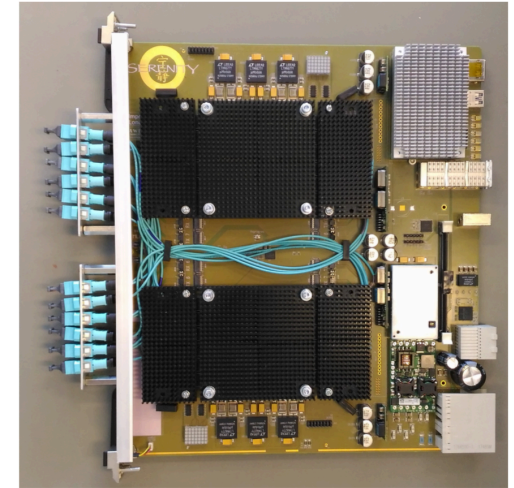
Precision timing with:

- Barrel layer: Crystals + SiPMs
- Endcap layer: Low Gain Avalanche Diodes



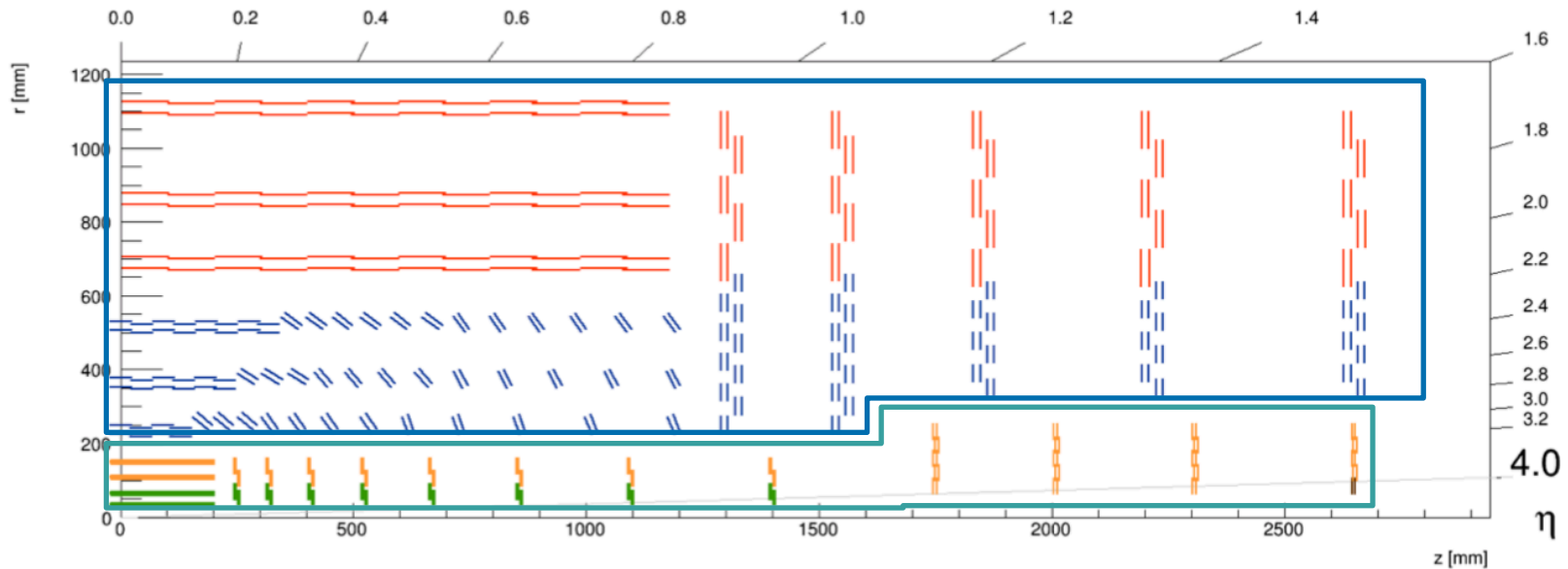
Common Off-detector Electronics Technology -

- Prototype ATCA card (Advanced Telecommunications Computing Architecture) to provide back-end electronic services for CMS:
Serenity
 - Flexible, dual FPGA card
 - Flexible, pluggable FPGA units
 - Generic, open processing platform
- Originated out of the UK CMS collaboration
- Backend electronics for:
 - HGCal trigger and DAQ
 - Outer-tracker readout,
 - L1 trigger



7Tb/s: 288
fibres @
25Gb/s

Tracker upgrade



Inner Tracker

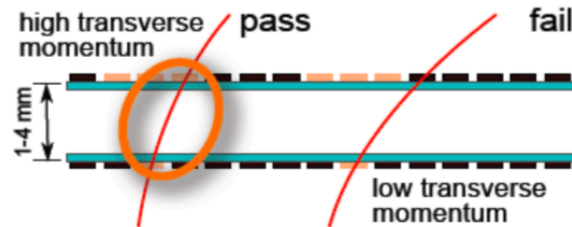
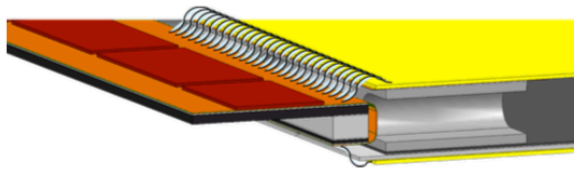
- Tracking up to $\eta=4$
- 2×10^9 pixels
 - Thin, radiation hard

Outer Tracker

- 13200 “Pt modules” (providing L1 Trigger primitives):
 - Coverage up to $|\eta| \sim 2.5$
- Occupancy $< 1\%$

Tracking in the Level-1 trigger

- Better trigger selectivity needed to exploit high luminosity
 - Better p_T resolution, e- γ discrimination
- Inclusion of data from the **Outer Tracker at L1**

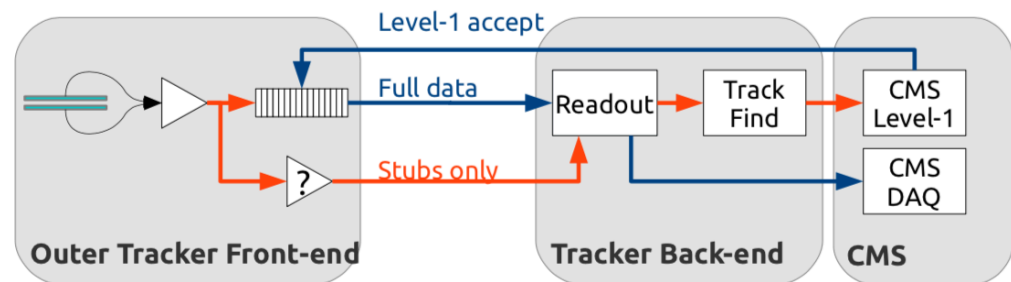


FPGA based track-finder

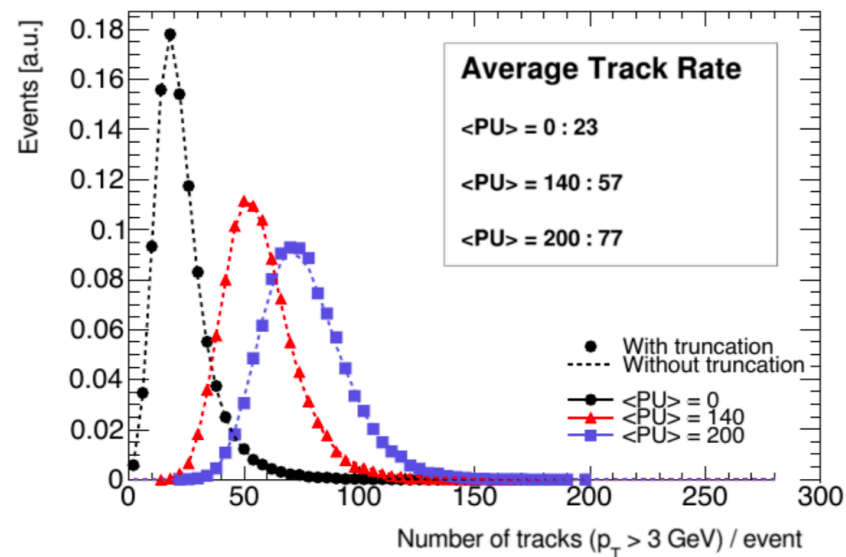
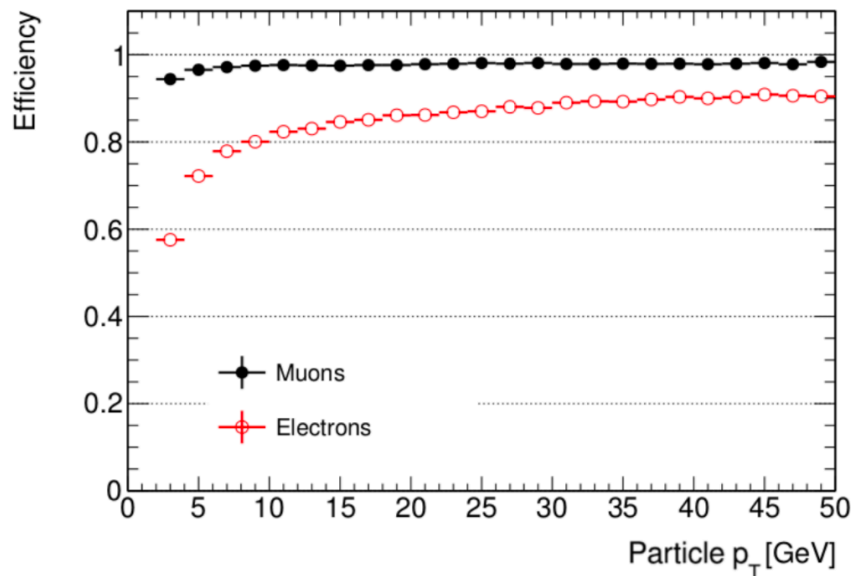
- Two silicon sensors with small spacing in a module
- One ASIC correlates data from both sensors selecting tracker “stubs”

The “stubs” are sent to the track finder backend, and used to create L1 track primitives with $p_T > 2\text{GeV}$ @ 40MHz

The vast majority of tracks have low p_T and can be discarded from L1



Tracking in the Level-1 trigger



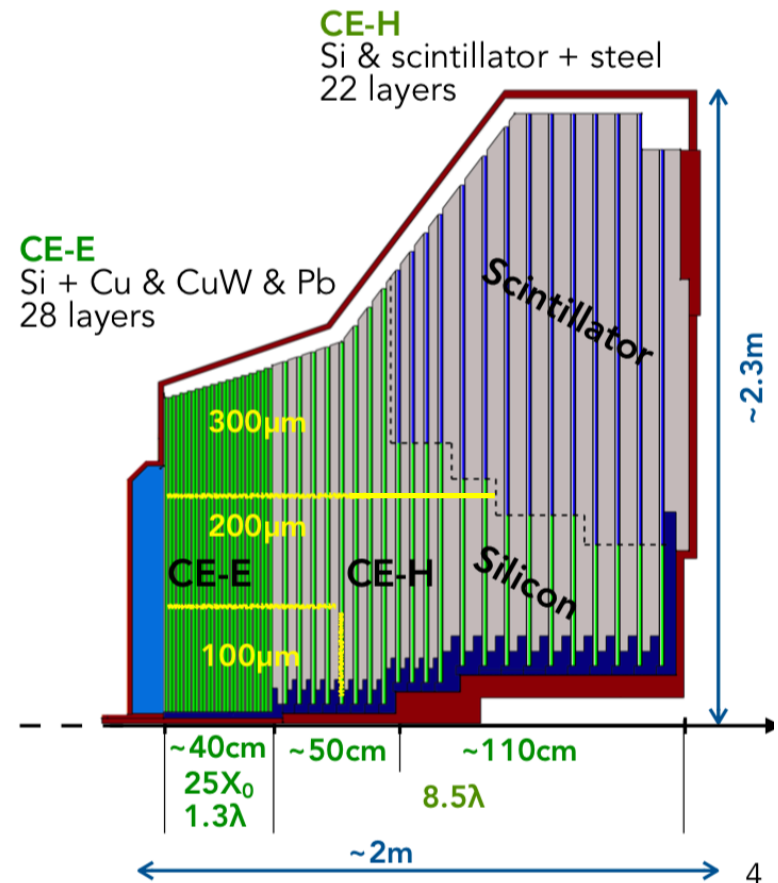
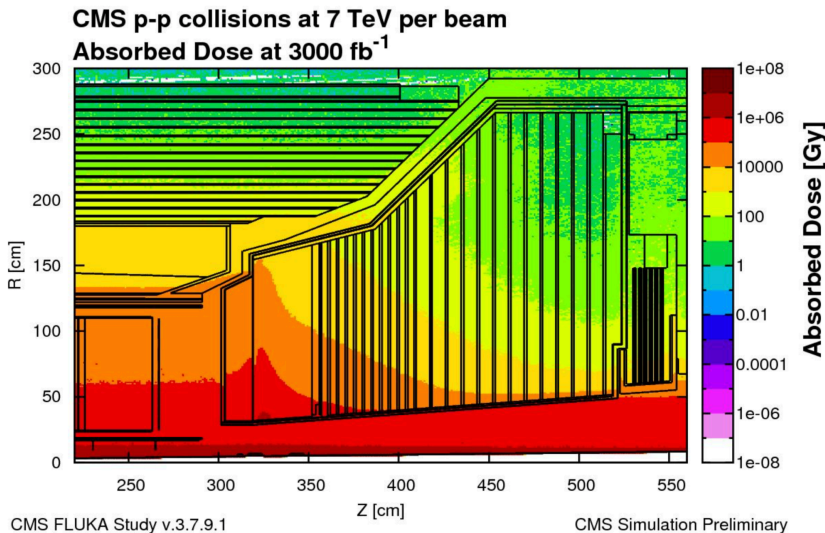
- L1 tracking efficiency vs p_T
- Muon turn-on around 3 GeV (stub threshold)

- Total L1 track rate for $t\bar{t}$ events
- The total rate for 200 pileup events is easily accommodated by the downstream L1 trigger

High-granularity end-cap calorimeter (HGCaI)

Machine requirements

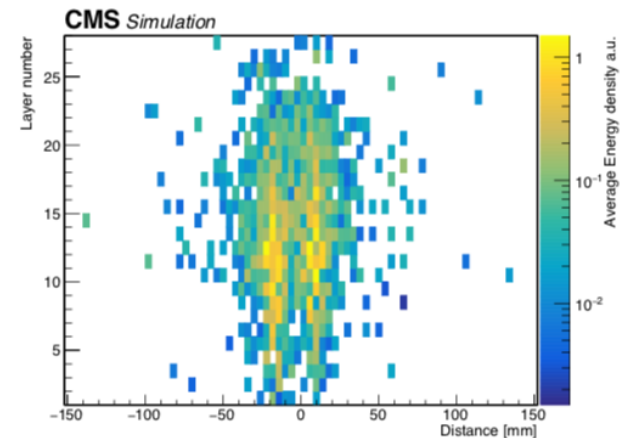
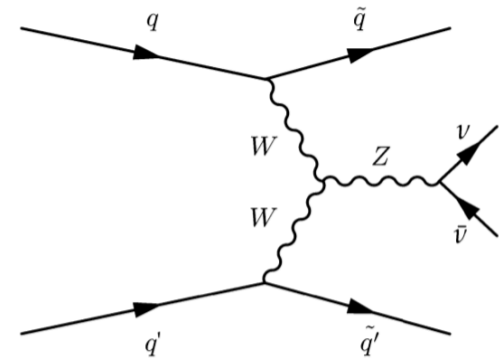
- Very high fluence and absorbed dose in forward region
 - Silicon sensors for the bulk of the calorimeter
 - Plastic scintillator tiles at the rear



High-granularity end-cap calorimeter (HGCal)

Physics motivation

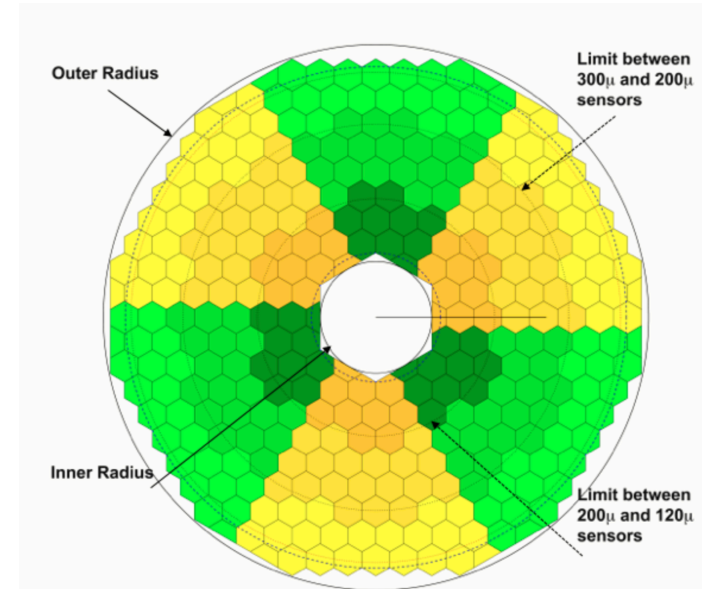
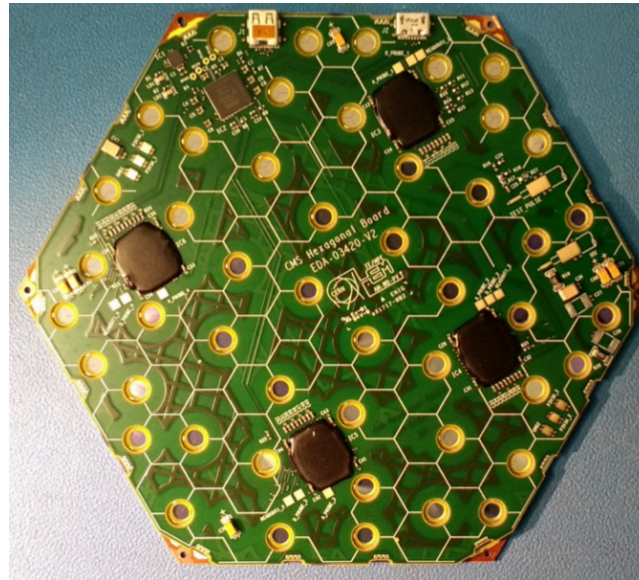
- Boosted topologies become more relevant
 - Need for high-granularity
 - Fine longitudinal readout segmentation
 - Good performance at high η (complements tracker upgrade)
- Exploit VBF production



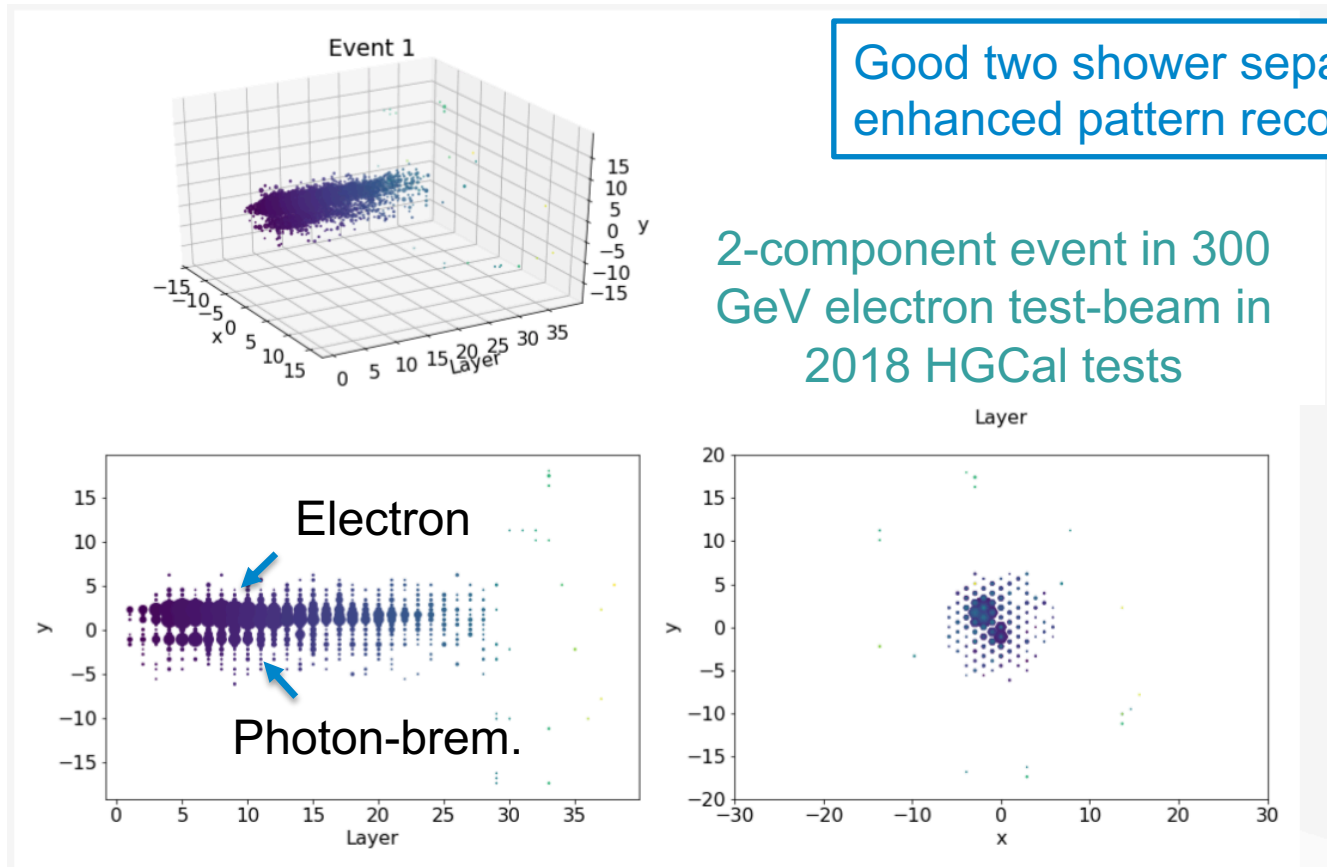
14 GeV p_T photons at $\eta=2.4$
with 3 cm separation

High-granularity end-cap calorimeter (HGCaI)

Hexagonal
silicon cells, to
make most
efficient use of
circular silicon
wafers

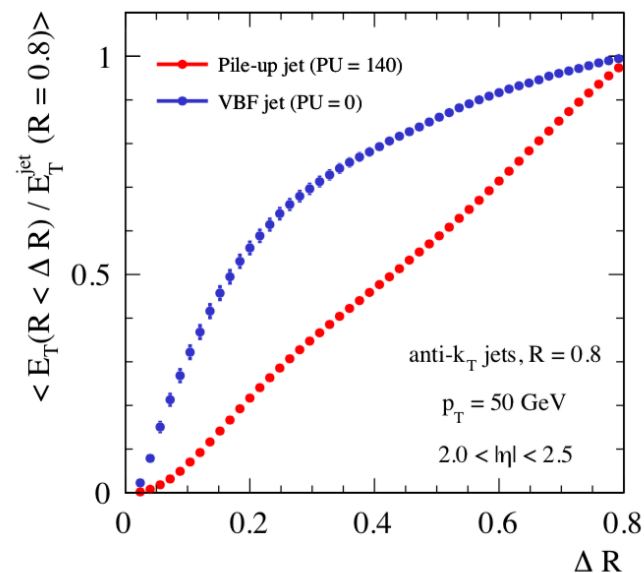
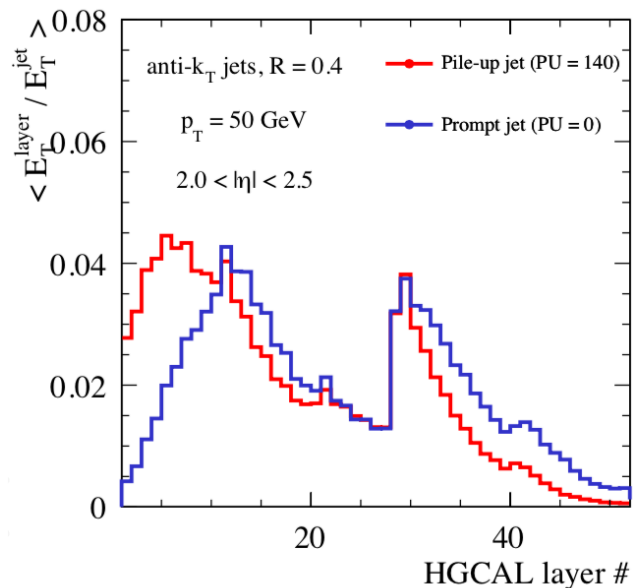


Advantages of high granularity



Advantages of high granularity - jets

Longitudinal and lateral energy profiles



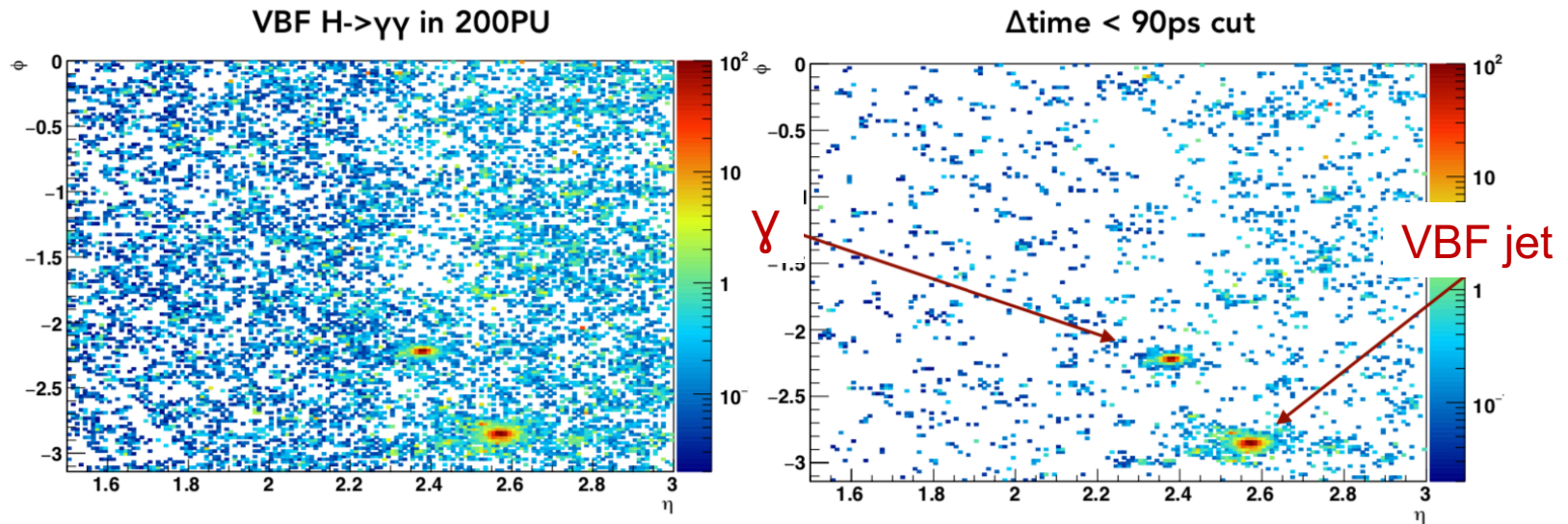
High granularity gives potential for separation of PU jets (mostly soft gluon jets) from interesting quark jets (e.g. from VBF)

Useful for resolving boosted topologies, top-, VBF- and tau-tagging



Using precise timing information

- Potential for 5D reconstruction (x,y,z, energy + time)



Powerful way to mitigate pileup

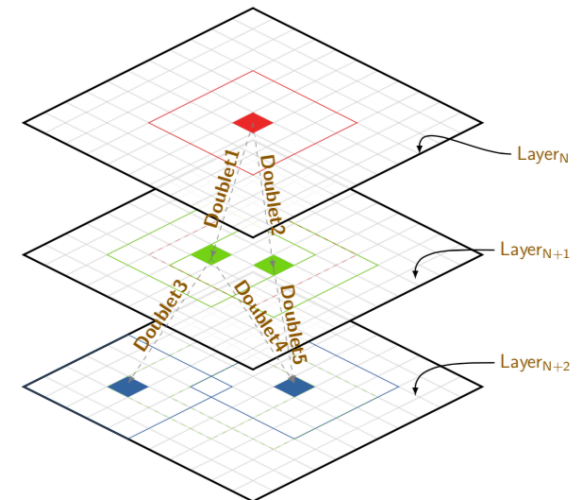
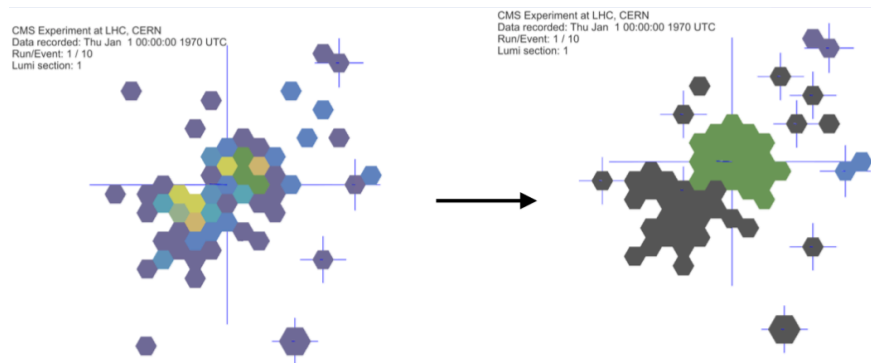
- allows reduced PU effect on jet reconstruction and energy estimate

Improve track \rightarrow vertex association, better b-tagging and lepton isolation



Particle reconstruction and identification

- Developing new **TICL** framework:
 - The Iterative **C**lustering
 - Combining clustering and pattern recognition iteratively

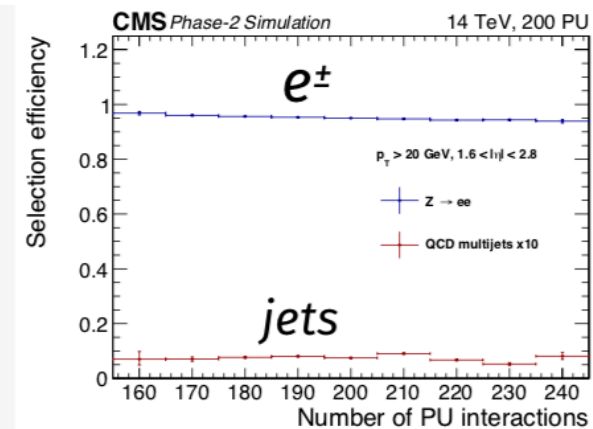
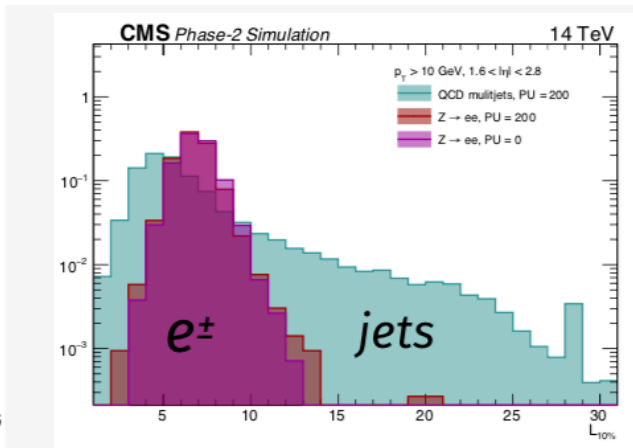
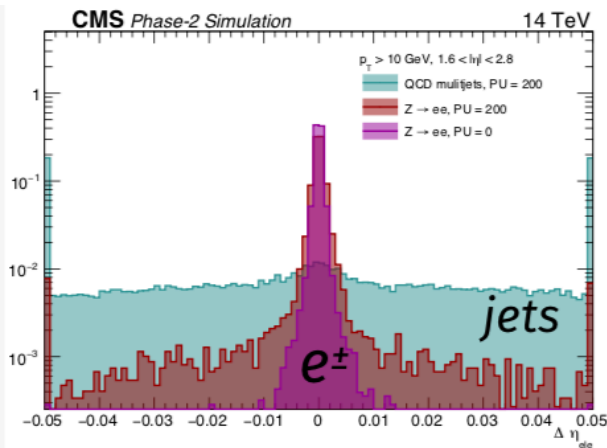


1. Build layer images (2D clusters)

2. Associate the 2D clusters to reconstruct 3D showers

Electron identification

- Electron are a standard candle for particle flow
 - Compact, of known shape and associated to a track
 - Axis pointing improves rejection of PU photons with respect to bremsstrahlung

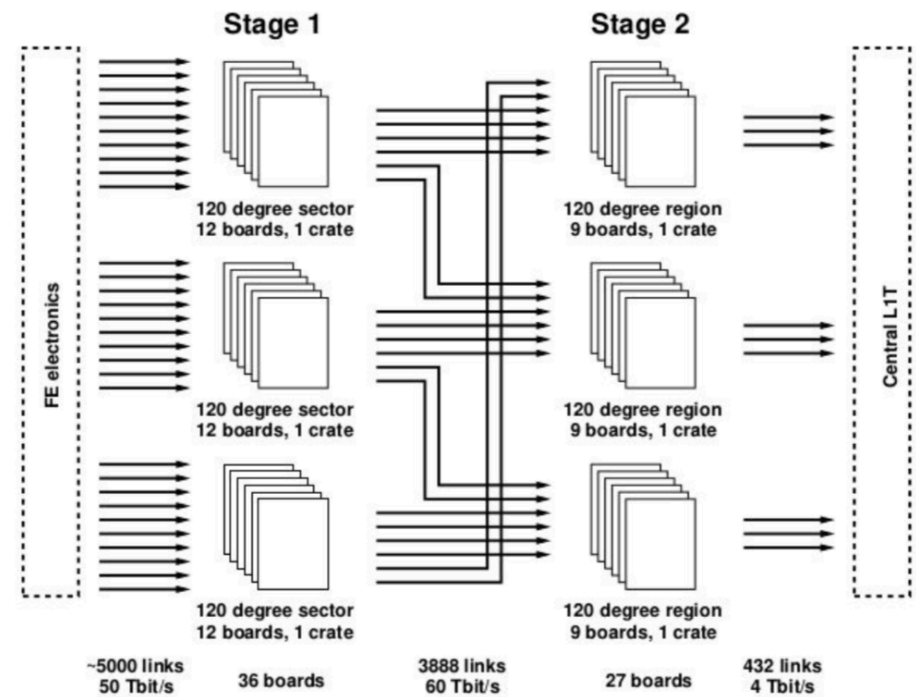


Electron shower shapes shown to be independent of pileup

Efficiency vs number of
PU interactions

HGCAL trigger system

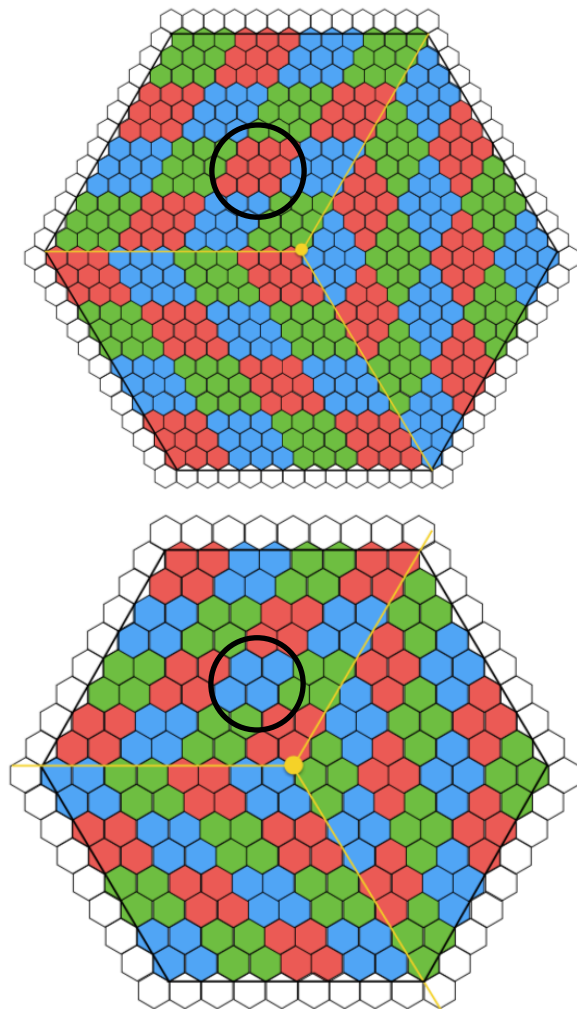
- Trigger capabilities in the forward region are key feature of the CMS upgrade
- HGCAL will generate 'trigger primitives' (3D energy clusters) to pass to the L1 trigger
- Two-stage backend design
 - Stage 1: data handling and calibration
 - Stage 2: trigger primitive generation



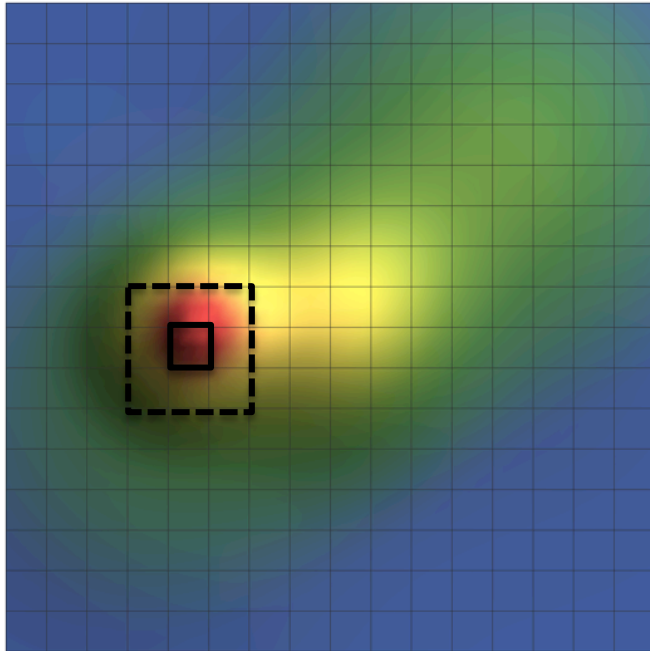
One end-cap

HGCal trigger system

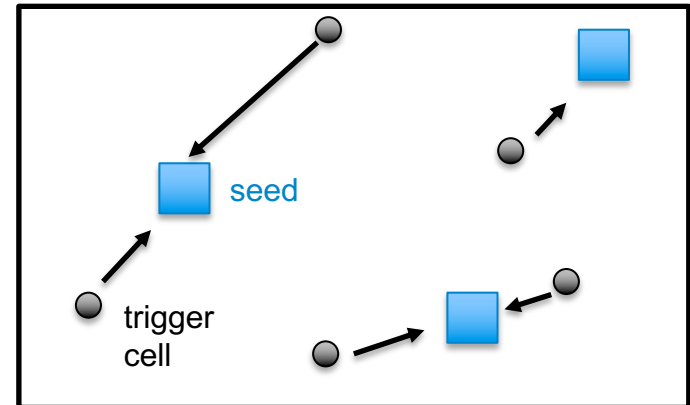
- Reducing expensive bandwidth is a challenging element of the system
 - $O(10000)$ links @10Gpbs from the front end to the trigger primitive generator
- Form basic “trigger cells” from the sensor cells in the front end
 - Combine either 4 or 9 depending on position in detector
- Cells are passed to the backend electronic after some selection
 - Simplest: threshold cut on energy



Backend stage 2



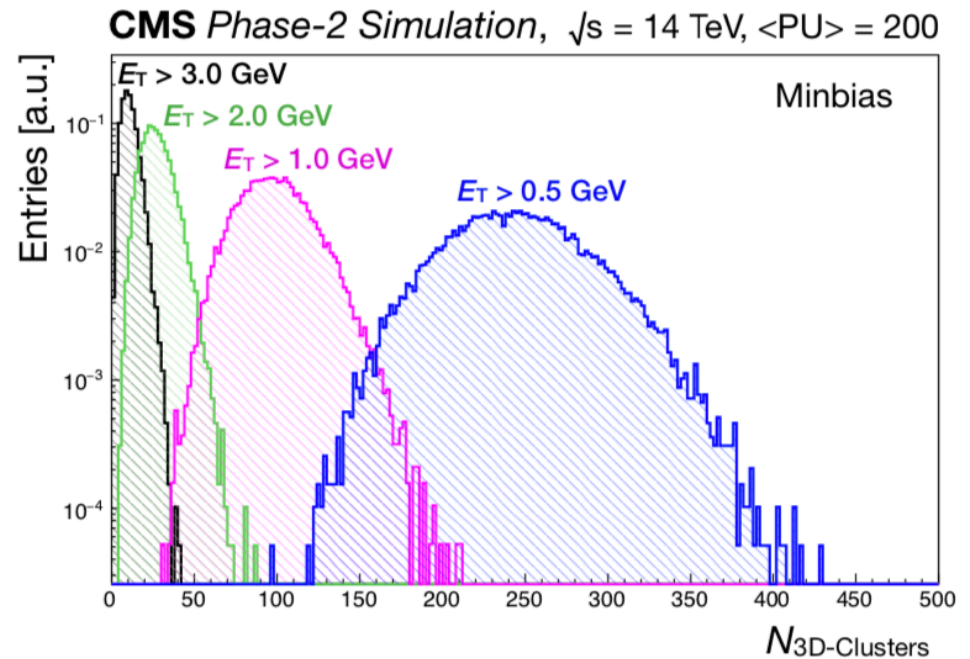
Fill 2D histogram with trigger cells - Seed finding



- Nearby TCs are associated to seeds in 3 dimensions forming 3D clusters
 - Passed to L1 trigger (after some selection)

HGCAL trigger system

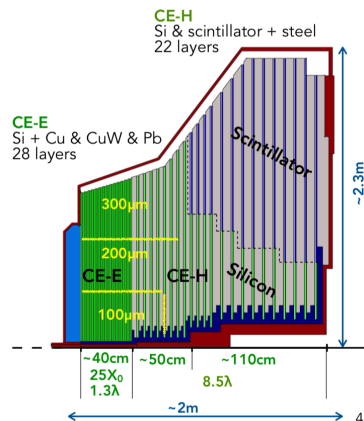
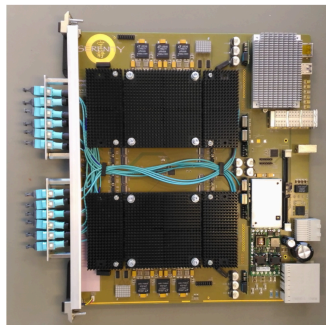
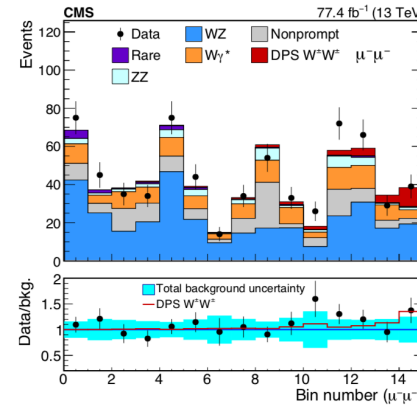
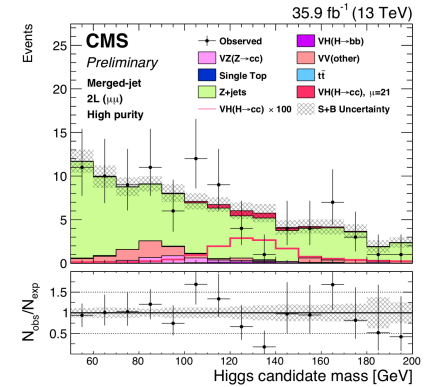
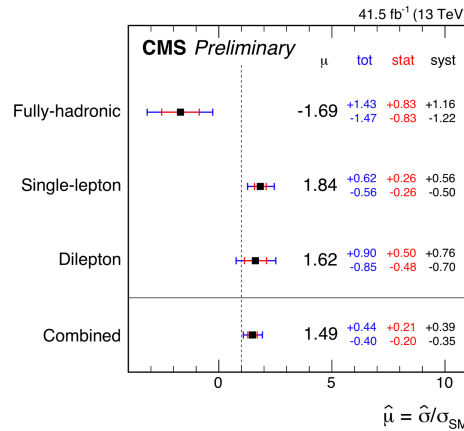
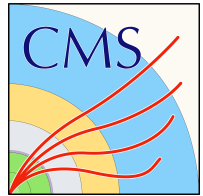
- The 3D clusters are then combined with the tracking information at L1
 - Particle flow at trigger level
- The firmware for stages 1 and 2 is currently being implemented



Number of 3D clusters per end-cap in
 tt events with 200 PU

Summary

- Important and interesting physics results are taking advantage of the large data set from the LHC run 2
 - Higgs, top, standard model, and new physics searches



Many of the CMS HL-LHC upgrade projects are entering a crucial period with data taking around 6-7 years away